



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

2016 SEP 14 AM 8:58

September 13, 2016

MEMORANDUM

SENSITIVE

TO: The Commission

THROUGH: Alec Palmer *for PCO*
Staff Director

FROM: Patricia C. Orrock *PCO*
Chief Compliance Officer

Debbie Chacona *for PCO*
Assistant Staff Director
Reports Analysis Division

BY: *KDR*
Kristin D. Roser/Ben Holly *B.H.*
Reports Analysis Division
Compliance Branch

SUBJECT: Reason To Believe Recommendation -2016 July Quarterly Report for the
Administrative Fine Program

Attached is a list of political committees and their treasurers who failed to file the 2016 July Quarterly Report in accordance with 52 U.S.C. § 30104(a). The July Quarterly Report was due on July 15, 2016.

The committees listed in the attached RTB Circulation Report either failed to file the report, filed the report : no more than thirty (30) days after the due date (considered a late filed report), or filed the report more than thirty (30) days after the due date (considered a non-filed report). In accordance with the schedule of civil money penalties for reports at 11 C.F.R. 111.43, these committees should be assessed the civil money penalties highlighted on the attached circulation report.

An explanation of the civil money penalties calculation for the following committees that failed to file the report is outlined below:

The committee (AF 3122) represents a candidate that participated in the 2016 Primary in Georgia. The committee was required to file a 2016 July Quarterly Report (Q2) covering May 5, 2016 through June 30, 2016 (57 days). In order to determine the civil money penalty, an estimated level of activity was calculated using a per diem average by multiplying the previous

activity reported for the 2015-2016 election cycle (\$684,828) by 45.60% (the number of days required in Q2 (57) divided by the number of days included in the Committee's 2015-2016 election cycle reports (125)).

The committee (AF 3130) represents a candidate that participated in the 2016 Primary in California. The committee was required to file a 2016 July Quarterly Report (Q2) covering May 19, 2016 through June 30, 2016 (43 days). In order to determine the civil money penalty, an estimated level of activity was calculated using a per diem average by multiplying the previous activity reported for the 2015-2016 election cycle (\$257,711) by 30.93% (the number of days required in Q2 (43) divided by the number of days included in the Committee's 2015-2016 election cycle reports (139)).

The committee (AF 3131) represents a candidate that participated in the 2016 Primary in Nebraska. The committee was required to file a 2016 July Quarterly Report (Q2) covering April 21, 2016 through June 30, 2016 (71 days). In order to determine the civil money penalty, an estimated level of activity was calculated using a per diem average by multiplying the previous activity reported for the 2015-2016 election cycle (\$172,021) by 17.02% (the number of days required in Q2 (71) divided by the number of days included in the Committee's 2015-2016 election cycle reports (417)).

Recommendation

1. Find reason to believe that the political committees and their treasurers, in their official capacity, listed on the RTB Circulation Report violated 52 U.S.C. § 30104(a) and make a preliminary determination that the civil money penalties would be the amounts indicated on the RTB Circulation Report.
2. Send the appropriate letters.

Federal Election Commission
Reason to Believe Circulation Report
2016 JULY QUARTERLY Not Election Sensitive 07/15/2016 H_S_P_UNAUTH

AF#	Committee ID	Committee Name	Candidate Name	Treasurer	Threshold	PV	Receipt Date	Days Late	LOA	RTB Penalty
3117	C00572537	AMERICANS SOCIALLY UNITED		CARY L. PETERSON	\$233,949	1		Not Filed	\$233,949 (est)	\$11,106
3118	C00588756	BRIAN SIMS FOR CONGRESS	BRIAN KENDALL SIMS	ANDREA LAWFUL SANDERS	\$535,449	0		Not Filed	\$267,725 (est)	\$10,935
3119	C00496927	CITIZENS SUPER PAC FOR AMERICA (CSPAC)		SIDDIQ MUMIN	\$463,130	0		Not Filed	\$144	\$144
3120	C00554253	CLAITOR FOR CONGRESS	DANIEL A. CLAITOR	CHELSEA BONNECAZE	\$120,518	4		Not Filed	\$120,518 (est)	\$12,302
3121	C00551465	COMMITTEE TO ELECT J.D. WINTEREGG	J.D. WINTEREGG	BETH COX	\$165,803	0		Not Filed	\$27,634 (est)	\$1,157
3122	C00613786	COWAN FOR CONGRESS, INC.	DANIEL COWAN	LEIGH ANN GILLIS	\$684,828	0		Not Filed	\$312,281 (est)	\$10,935
3123	C00030734	DISTILLED SPIRITS COUNCIL OF THE UNITED STATES INC POLITICAL ACTION COMMITTEE		MARK GORMAN	\$181,065	0	7/28/2016	13	\$43,269	\$611
3124	C00612929	ERIN FOR US	ERIN AZARIA SCHRODE	JASON TERAMOTO	\$117,364	0	8/15/2016	Not Filed	\$44,461 (est)	\$1,157
3125	C00607564	ERNIE RIVERA FOR FLORIDA	ERNESTO J. RIVERA	ALAN K. GEER	\$336,192	0	8/12/2016	28	\$309,278 (est)	\$9,694
3126	C00518365	ESPAILLAT FOR CONGRESS	ADRIANO ESPAILLAT	RAFAEL LANTIGUA	\$103,607	0	8/8/2016	24	\$9,379	\$208
3127	C00593525	ESPAILLAT FOR CONGRESS 2016	ADRIANO ESPAILLAT	RAYSA CASTILLO	\$1,070,219	0	8/8/2016	24	\$341,768	\$8,602
3128	C00586578	FETTERMAN FOR SENATE	JOHN KART FETTERMAN	PHYLLIS GREATHOUSE-BROWN	\$1,512,293	0	7/27/2016	12	\$350,008	\$6,010
3129	C00354613	INT LONGSHOREMENS ASSOC (ILA) LOCAL 1291 POLITICAL ACTION COMMITTEE		MARTIN MASCUILLI	\$113,849	3		Not Filed	\$19,804	\$1,125
3130	C00606897	MATT KOKKONEN FOR CONGRESS	MATT KOKKONEN	JACOB THOMAS	\$257,711	0		Not Filed	\$79,710 (est)	\$4,784
3131	C00565598	MAXWELL FOR CONGRESS	CHIP MAXWELL	CHIP MAXWELL	\$172,021	0		Not Filed	\$29,277 (est)	\$1,157
3132	C00150995	NATIONAL PAC INC AKA NATPAC		DEBORAH ANN CAPOCCI	\$195,419	0		Not Filed	\$65,139 (est)	\$3,691
3133	C00134676	PHOENIX FIRE FIGHTERS, LOCAL 493, FIRE PAC COMMITTEE		BRYAN WILLINGHAM	\$376,301	0	7/21/2016	6	\$59,878	\$1,028
3134	C00362632	SWING STATES FOR A CONSERVATIVE WHITE HOUSE PAC INC		OFFICE OF TREASURER	\$134,449	0		Not Filed	\$44,816 (est)	\$1,157

AF#	Committee ID	Committee Name	Candidate Name	Treasurer	Threshold	PV	Receipt Date	Days Late	LOA	RTB Penalty
3135	C00613216	THE CANDIDATE BILLY DAVIS INC.	BILLY DAVIS	BILLY DAVIS	\$146,000	0		Not Filed	\$17,906 (est)	\$643
3136	C00560649	TOM CARTER FOR CONGRESS	THOMAS G. CARTER	THOMAS CARTER	\$137,286	0		Not Filed	\$137,286 (est)	\$6,151
3137	C00573428	WHITWELL FOR CONGRESS	ROBERT QUENTIN WHITWELL JR.	OFFICE OF TREASURER	\$437,531	1		Not Filed	\$72,922 (est)	\$4,613
3138	C00520064	WILLIAM LLOP CPA FOR CONGRESS	WILLIAM LLOP	WILLIAM LLOP	\$312,739	0	7/22/2016	7	\$109,185	\$2,017
3139	C00592519	YUNGMAN LEE FOR CONGRESS	YUNGMAN F. LEE	GUANG QUAN WU	\$739,988	0	7/27/2016	12	\$88,669	\$2,191

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
Reason To Believe Recommendation -)
2016 July Quarterly Report for the)
Administrative Fine Program:)
AMERICANS SOCIALLY UNITED, and) AF# 3117
PETERSON, CARY L as treasurer;)
BRIAN SIMS FOR CONGRESS, and) AF# 3118
SANDERS, ANDREA LAWFUL as)
treasurer;)
CITIZENS SUPER PAC FOR AMERICA) AF# 3119
(CSPAC), and SIDDIQ MUMIN as)
treasurer;)
CLAITOR FOR CONGRESS, and) AF# 3120
CHELSEA BONNECAZE as treasurer;)
COMMITTEE TO ELECT JD) AF# 3121
WINTEREGG, and COX, BETH as)
treasurer;)
COWAN FOR CONGRESS, INC., and) AF# 3122
GILLIS, LEIGH ANN as treasurer;)
DISTILLED SPIRITS COUNCIL OF THE) AF# 3123
UNITED STATES INC POLITICAL)
ACTION COMMITTEE, and GORMAN,)
MARK MR. as treasurer;)
ERIN FOR US, and TERAMOTO, JASON) AF# 3124
as treasurer;)
ERNIE RIVERA FOR FLORIDA, and) AF# 3125
ALAN K GEER as treasurer;)
ESPAILLAT FOR CONGRESS, and) AF# 3126
LANTIGUA, RAFAEL as treasurer;)
ESPAILLAT FOR CONGRESS 2016, and) AF# 3127
RAYSA CASTILLO as treasurer;)
FETTERMAN FOR SENATE, and) AF# 3128
PHYLLIS GREATHOUSE-BROWN as)
treasurer;)
INT LONGSHOREMENS ASSOC (ILA)) AF# 3129
LOCAL 1291 POLITICAL ACTION)
COMMITTEE, and MARTIN MASCUILLI)
as treasurer;)
MATT KOKKONEN FOR CONGRESS,) AF# 3130
and THOMAS, JACOB CPA as treasurer;)

Federal Election Commission
Certification for Administrative Fines
September 15, 2016

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MAXWELL FOR CONGRESS, and CHIP) AF# 3131
MAXWELL as treasurer;)
NATIONAL PAC INC A/K/A NATPAC,) AF# 3132
and CAPOCCI, DEBORAH ANN MRS. as)
treasurer;)
PHOENIX FIRE FIGHTERS, LOCAL 493,) AF# 3133
FIRE PAC COMMITTEE, and)
WILLINGHAM, BRYAN as treasurer;)
THE CANDIDATE BILLY DAVIS INC.,) AF# 3135
and DAVIS, BILLY as treasurer;)
TOM CARTER FOR CONGRESS, and) AF# 3136
CARTER, THOMAS as treasurer;)
WILLIAM LLOP CPA FOR CONGRESS,) AF# 3138
and WILLIAM LLOP as treasurer;)
YUNGMAN LEE FOR CONGRESS, and) AF# 3139
GUANG QUAN WU as treasurer;)

CERTIFICATION

I, Shawn Woodhead Werth, Secretary and Clerk of the Federal Election

Commission, do hereby certify that on September 15, 2016 the Commission took the following actions on the Reason To Believe Recommendation – 2016 July Quarterly Report for the Administrative Fine Program as recommended in the Reports Analysis Division's Memorandum dated September 13, 2016, on the following committees:

AF#3117 Decided by a vote of 6-0 to: (1) find reason to believe that AMERICANS SOCIALLY UNITED, and PETERSON, CARY L in his official capacity as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3118 Decided by a vote of 6-0 to: (1) find reason to believe that BRIAN SIMS FOR CONGRESS, and SANDERS, ANDREA LAWFUL in her official capacity as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3119 Decided by a vote of 6-0 to: (1) find reason to believe that CITIZENS SUPER PAC FOR AMERICA (CSPAC), and SIDDIQ MUMIN in his official capacity as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3120 Decided by a vote of 6-0 to: (1) find reason to believe that CLAITOR FOR CONGRESS, and CHELSEA BONNECAZE in her official capacity as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3121 Decided by a vote of 6-0 to: (1) find reason to believe that COMMITTEE TO ELECT JD WINTEREGG, and COX, BETH in her official capacity as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3122 Decided by a vote of 6-0 to: (1) find reason to believe that COWAN FOR CONGRESS, INC., and GILLIS, LEIGH ANN in her official capacity as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3123 Decided by a vote of 6-0 to: (1) find reason to believe that DISTILLED SPIRITS COUNCIL OF THE UNITED STATES INC POLITICAL ACTION COMMITTEE, and GORMAN, MARK MR. in his official capacity as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3124 Decided by a vote of 6-0 to: (1) find reason to believe that ERIN FOR US, and TERAMOTO, JASON in his official capacity as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3125 Decided by a vote of 6-0 to: (1) find reason to believe that ERNIE RIVERA FOR FLORIDA, and ALAN K GEER in his official capacity as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3126 Decided by a vote of 6-0 to: (1) find reason to believe that ESPAILLAT FOR CONGRESS, and LANTIGUA, RAFAEL in his official as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3127 Decided by a vote of 6-0 to: (1) find reason to believe that ESPAILLAT FOR CONGRESS 2016, and RAYSA CASTILLO in her official capacity as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3128 Decided by a vote of 6-0 to: (1) find reason to believe that FETTERMAN FOR SENATE, and PHYLLIS GREATHOUSE-BROWN in her official capacity as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3129 Decided by a vote of 6-0 to: (1) find reason to believe that INT LONGSHOREMENS ASSOC (ILA) LOCAL 1291 POLITICAL ACTION COMMITTEE, and MARTIN MASCUILLI in his official capacity as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3130 Decided by a vote of 6-0 to: (1) find reason to believe that MATT KOKKONEN FOR CONGRESS, and THOMAS, JACOB CPA in his official capacity as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3131 Decided by a vote of 6-0 to: (1) find reason to believe that MAXWELL FOR CONGRESS, and CHIP MAXWELL in his official capacity as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3132 Decided by a vote of 6-0 to: (1) find reason to believe that NATIONAL PAC INC A/K/A NATPAC, and CAPOCCI, DEBORAH ANN MRS. in her official capacity as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3133 Decided by a vote of 6-0 to: (1) find reason to believe that PHOENIX FIRE FIGHTERS, LOCAL 493, FIRE PAC COMMITTEE, and WILLINGHAM, BRYAN in his official capacity as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3135 Decided by a vote of 6-0 to: (1) find reason to believe that THE CANDIDATE BILLY DAVIS INC., and DAVIS, BILLY in his official capacity as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3136 Decided by a vote of 6-0 to: (1) find reason to believe that TOM CARTER FOR CONGRESS, and CARTER, THOMAS in his official capacity as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3138 Decided by a vote of 6-0 to: (1) find reason to believe that WILLIAM LLOP CPA FOR CONGRESS, and WILLIAM LLOP in his official capacity as treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3139 Decided by a vote of 6-0 to: (1) find reason to believe that YUNGMAN LEE FOR CONGRESS, and GUANG QUAN WU in his official capacity is treasurer violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

Attest:

September 16, 2016
Date

Shawn Woodhead Werth
Shawn Woodhead Werth
Secretary and Clerk of the Commission



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

September 16, 2016

Alan K. Geer, in official capacity as Treasurer
Ernie Rivera for Florida
P.O. Box 9
Land O' Lakes, FL 34639

C00607564
AF#: 3125

Dear Mr. Geer:

The Federal Election Campaign Act of 1971, as amended ("the Act"), requires that your committee file a July Quarterly Report of Receipts and Disbursements every calendar year. This report, covering the period April 1, 2016 through June 30, 2016, shall be filed no later than July 15, 2016. 52 U.S.C. § 30104(a). Records at the Federal Election Commission ("FEC") indicate that this report was filed on August 12, 2016, 28 days late.

The Act permits the FEC to impose civil money penalties for violations of the reporting requirements of 52 U.S.C. § 30104(a). 52 U.S.C. § 30109g(a)(4). On September 15, 2016, the FEC found that there is reason to believe ("RTB") that Ernie Rivera for Florida and you, in your official capacity as treasurer, violated 52 U.S.C. § 30104(a) by failing to file timely this report on or before July 15, 2016. Based on the FEC's schedules of civil money penalties at 11 CFR § 111.43, the amount of your civil money penalty calculated at the RTB stage is \$9,694. Please see the attached copy of the Commission's administrative fine regulations at 11 CFR §§ 111.30-111.55. Attachment 1. The Commission's website contains further information about how the administrative fine program works and how the fines are calculated. See <http://www.fec.gov/af/af.shtml>. 11 CFR § 111.34. Your payment of \$9,694 is due within forty (40) days of the finding, or by October 25, 2016, and is based on these factors:

Election Sensitivity of Report: Not Election Sensitive
Level of Activity: \$309,278
Number of Days Late: 28
Number of Previous Civil Money Penalties Assessed: 0

At this juncture, the following courses of action are available to you:

1. If You Choose to Challenge the RTB Finding and/or Civil Money Penalty

If you should decide to challenge the RTB finding and/or calculated civil money penalty, you must submit a written response to the FEC's Office of Administrative Review, 999 E Street, NW, Washington, DC 20463. Your response must include the AF# (found at the top of page 1 under your committee's identification number) and be received within forty (40) days of the

Commission's RTB finding, or October 25, 2016. 11 CFR § 111.35(a). Your written response must include the reason(s) why you are challenging the RTB finding and/or calculated civil money penalty, and must include the factual basis supporting the reason(s) and supporting documentation. The FEC strongly encourages that documents be submitted in the form of affidavits or declarations. 11 CFR § 111.36(c).

The FEC will only consider challenges that are based on at least one of three grounds: (1) a factual error in the RTB finding; (2) miscalculation of the calculated civil money penalty by the FEC; or (3) your demonstrated use of best efforts to file in a timely manner when prevented from doing so by reasonably unforeseen circumstances that were beyond your control. 11 CFR § 111.35(b). In order for a challenge to be considered on the basis of best efforts, you must have filed the required report no later than 24 hours after the end of these reasonably unforeseen circumstances. *Id.* Examples of circumstances that will be considered reasonably unforeseen and beyond your control include, but are not limited to: (1) a failure of Commission computers or Commission-provided software despite your seeking technical assistance from Commission personnel and resources; (2) a widespread disruption of information transmissions over the Internet that is not caused by a failure of the Commission's or your computer systems or Internet service provider; and (3) severe weather or other disaster-related incident. 11 CFR § 111.35(c). Examples of circumstances that will not be considered reasonably unforeseen and beyond your control include, but are not limited to: (1) negligence; (2) delays caused by vendors or contractors; (3) treasurer and staff illness, inexperience or unavailability; (4) committee computer, software, or Internet service provider failures; (5) failure to know filing dates; and (6) failure to use filing software properly. 11 CFR § 111.35(d).

The "failure to raise an argument in a timely fashion during the administrative process shall be deemed a waiver" of your right to present such argument in a petition to the U.S. District Court under 52 U.S.C. § 30109. 11 CFR § 111.38.

If you intend to be represented by counsel, please advise the Office of Administrative Review. You should provide, in writing, the name, address and telephone number of your counsel and authorize counsel to receive notifications and communications relating to this challenge and imposition of the calculated civil money penalty.

2. If You Choose Not to Pay the Civil Money Penalty and Not to Submit a Challenge

If you do not pay the calculated civil money penalty and do not submit a written response, the FEC will assume that the preceding factual allegations are true and make a final determination that Ernie Rivera for Florida and you, in your official capacity as treasurer, violated 52 U.S.C. § 30104(a) and assess a civil money penalty.

Unpaid civil money penalties assessed through the Administrative Fine regulations will be subject to the Debt Collection Act of 1982 ("DCA"), as amended by the Debt Collection Improvement Act of 1996, 31 U.S.C. § 3701 *et seq.* The FEC may take any and all appropriate action authorized and required by the DCA, as amended, including transfer to the U.S. Department of the Treasury for collection. 11 CFR § 111.51(a)(2).

3. If You Choose to Pay the Civil Money Penalty

If you should decide to pay the calculated civil money penalty, follow the payment instructions on page 4 of this letter. Upon receipt of your payment, the FEC will send you a final determination letter.

NOTICE REGARDING PARTIAL PAYMENTS AND SETTLEMENT OFFERS

4. Partial Payments

If you make a payment in an amount less than the calculated civil money penalty, the amount of your partial payment will be credited towards the full civil money penalty that the Commission assesses upon making a final determination.

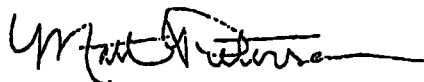
5. Settlement Offers

Any offer to settle or compromise a debt owed to the Commission, including a payment in an amount less than the calculated civil money penalty assessed or any restrictive endorsements contained on your check or money order or proposed in correspondence transmitted with your check or money order, will be rejected. Acceptance and deposit or cashing of such a restricted payment does not constitute acceptance of the settlement offer. Payments containing restrictive endorsements will be deposited and treated as a partial payment towards the civil money penalty that the Commission assesses upon making a final determination. All unpaid civil money penalty amounts remaining will be subject to the debt collection procedures set forth in Section 2, above.

This matter was generated based on information ascertained by the FEC in the normal course of carrying out its supervisory responsibilities. 52 U.S.C. § 30109(a)(2). Unless you notify the FEC in writing that you wish the matter to be made public, it will remain confidential in accordance with 52 U.S.C. § 30109(a)(4)(B) and 30109(a)(12)(A) until it is placed on the public record at the conclusion of this matter in accordance with 11 CFR § 111.42.

As noted earlier, you may obtain additional information on the FEC's administrative fine program, including the final regulations, on the FEC's website at <http://www.fec.gov/af/af.shtml>. If you have questions regarding the payment of the calculated civil money penalty, please contact Bcn Holly in the Reports Analysis Division at our toll free number (800) 424-9530 (at the prompt press 5) or (202) 694-1130. If you have questions regarding the submission of a challenge, please contact the Office of Administrative Review at our toll free number (800) 424-9530 (press 0, then ext. 1660) or (202) 694-1660.

On behalf of the Commission,



Matthew S. Petersen
Chair

ADMINISTRATIVE FINE REMITTANCE & PAYMENT INSTRUCTIONS

In accordance with the schedule of penalties at 11 CFR § 111.43, the amount of your civil money penalty calculated at RTB is \$9,694 for the 2016 July Quarterly Report.

You may remit payment by ACH withdrawal from your bank account, or by debit or credit card through Pay.gov, the federal government's secure portal for online collections. Visit www.fec.gov/af/pay.shtml to be directed to Pay.gov's Administrative Fine Program Payment form.

This penalty may also be paid by check or money order, made payable to the Federal Election Commission. It should be sent by mail to:

Federal Election Commission
P.O. Box 979058
St. Louis, MO 63197-9000

If you choose to send your payment by courier or overnight delivery, please send to:

U.S. Bank - Government Lockbox
FEC #979058
1005 Convention Plaza
Attn: Government Lockbox, SL-MO-C2GL
St. Louis, MO 63101

PAYMENTS BY PERSONAL CHECK

Personal checks will be converted into electronic funds transfers (EFTS). Your account will be electronically debited for the amount on your check, usually within 24 hours, and the debit will appear on your regular statement. We will destroy your original check and keep a copy of it. In case the EFT cannot be processed for technical reasons, you authorize us to process the copy in lieu of the original check. Should the EFT not be completed because of insufficient funds, we may try to make the transfer twice.

PLEASE DETACH AND RETURN THE PORTION BELOW WITH YOUR PAYMENT

FOR: Ernie Rivera for Florida

FEC ID#: C00607564

AF#: 3125

PAYMENT DUE DATE: October 25, 2016

PAYMENT AMOUNT DUE: \$9,694

1700627129067

October 19, 2016

RECEIVED
FEC MAIL CENTER
2016 OCT 24 AM 10:38

To: Federal Election Commission (FEC) Office of Administrative Review
999 E St. NW
Washington D.C. 20463

AF# 3125

FROM: Ernie Rivera for Florida
P.O. Box 9
Land O Lakes, FL 34639

RE: Challenge to proposed penalty assessment- AF #3125.

Honorable Members of the Committee:

Pursuant to the process established by the Federal Election Commission: (FEC), as established by the Federal Election Act of 1971, as amended, the ("Act") under 52 USC subsection 30104(a) where the FEC proceeds to impose a civil monetary penalty for violations incurred by any committee in a timely fashion according to the dispositions set forth in 52 USC subsection 30104 (a), 52 USC subsection 30109 (g)(a)(4).

The Commission's Committee #C00607564, found on September 15, 2016 what they considered "Reasons to Believe"("RTB") that ERNIE for FLORIDA and Mr. Alan K. Geer, CPA, in this case in his official capacity as Treasurer had violated 52-USC subsection 30104(a) by failing to file timely the report on or before July 15, 2016.

In recognition of the fact that said report was not filed on time the prevailing circumstances preceding and following the reports due date, ("RDD") merit, to our understanding, careful consideration from the Reviewing Officer who will finally submit to the committee his or her findings as set forth in 11 CFR subsection 111.35 (a) within the forty (40) days allowed, October 25, 2016, and in such an action as a challenge to the RTB assessed penalty we submit this written response why we are challenging the RTB with factual basis supporting our claims with supporting documentation in form of affidavits or declarations subject to 11 CFR subsection 111.36 (c).

ERNIE RIVERA FOR FLORIDA
CHALLENGE TO PROPOSED PENALTY ASSESSMENT – AF #3125
OCTOBER 19, 2016

In addition to our challenge of the prior mentioned procedure we respectfully want to challenge the amount of the civil monetary penalty imposed by the committee in the amount of \$9,694.00 based on the FEC's schedule of civil money penalties in 11 CFR subsection 111. 43 in the process RTB that the amount used as the standard for calculations was not and is not the correct amount due to the FTC's definitions of funds in Subpart B – "definitions of contributions" to USC 431(8) 100. 30–100. 32, subsections 100. 34–100.50, subsection 100. 51, subsection 100. 52.

In compliance with the set timeframe established by the FEC's committee and notified by written correspondence dated September 16, 2016 (and received a week afterwards), we respectfully challenge this imposition and pray that our case may be heard in its totality understanding that there has never been an intention to fail or desire to default in matters of compliance with any Federal Authority or those who serve in it.

We present our challenge in the following format:

Part A: Background History.

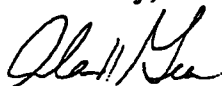
Part B: Challenge of dates and time frame.

Part C: Challenge of the amount of monetary penalty.

Part D: Corroborating evidence, Affidavits and Declarations.

If after corroborating the evidence presented on our behalf the Reviewing Officer understands that there is still RTB that a penalty should be imposed we respectfully request an abatement of the quantity of the fine and payment terms that will be feasible for the candidate to pay in due time, which we will accept to comply and cover.

Sincerely,



Alan K. Geer
Ernie Rivera

ERNIE RIVERA FOR FLORIDA
CHALLENGE TO PROPOSED PENALTY ASSESSMENT – AF #3125
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The Challenge

Part A, Background History.

The political campaign known as ERNIE for FLORIDA CAMPAIGN began in October 2015 by its candidate Mr. Ernie Rivera who is a Pastor from Puerto Rico who had the intention to serve his country in a greater capacity after serving 35 years in the mission field in Third World countries like; Haiti, the Dominican Republic and Africa.

Motivated by his family and friends Mr. Rivera proceeded in what the FEC describes as "Testing the Waters" where he began, for the first time in his life, to share his political views with others in a genuine interest in helping our country preserve its moral and ethical values that he so much shared as a pastor for so many years.

After a month or so, by December 15, 2015 in an apparent lack of interest from the community and with no prior knowledge in the political system Mr. Rivera decided to suspend the campaign until the end of January 2016 when friends motivated him to continue with his message and cause.

Looking for advice in how to proceed with a political campaign he contacted in various occasions his State's Electoral Commission in Tallahassee, Florida where all he received was contradictory misinformation in how to proceed. After various attempts a more experienced employee of the electoral commission directed him to the FEC in Washington DC.

Never having run a political campaign before, Mr. Rivera was not knowledgeable that there were resources available that could direct his intentions in the correct manner. It was not until after March 2016 when Mr. Rivera contacted for the third or fourth time the FEC office that an employee was surprised that they had not sent him the FEC Senator's Candidate Manual and proceeded to do so.

Mr. Rivera made the official public announcement of his candidacy as a U.S. Senator, Thursday, April 21, 2016 at the formal reception held at the LaTeresita Restaurant in Tampa, Florida where various television, radio and newsprint media were present with a group of guests that he had invited.

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Nearing the end of the month of June 2016, the sitting Senator for Florida, Mr. Marco Rubio announced that he was going to run once again for the Senate at that moment Mr. Rivera understood it would be impossible to win the Primary race against Mr. Rubio and should close his campaign efforts.

Once again, cheered on by his family, Mr. Rivera committed to complete the Primary campaign until August 30, 2016 but first he instructed to stop any and all contracts that would incur additional costs to him.

Mr. Rivera closed down his headquarters and terminated all volunteer or paid services by the end of August 31, 2016. The bank account was closed in September when all checks were cleared.

The challenge: Part B

Challenge of dates and time frames.

1.) A bank account was opened under the campaign name: ERNIE For FLORIDA CAMPAIGN at SunTrust Bank in Land O Lakes, Florida.

All funds used to open the account were described as a loan from the candidate, Mr. Rivera, directly to his campaign. They were not considered a donation.

***See submitted evidence; section A- See bank statements**

2.) The treasurer, on a volunteer basis, Mr. Alan K. Geer, filed for the first time the required FEC form – 1, May 20, 2016 to the Federal Election Commission, in accordance with electoral law, and making the campaign official for the first time.

*** See submitted evidence; section B**

3.) During the period considered as "testing the waters" as described by the FEC in NO moment did the campaign receive in total donations \$5,000 or more.

4.) A former employee from Mr. Geer's office, named Jamie, contacted Ms. Laura Beaufort, Advisor of FEC, (202)694-1187, the assigned FEC coordinator for Ernie Rivera for Florida campaign on August 4, 2016 for guidance since we realized we were late for the quarter filing.

5.) After having received from Ms. Laura Beaufort, Advisor of FEC, (202)694-1187, the adequate guidance we proceeded immediately to request all documents necessary from the candidate and his staff.

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6.) The main contact person in the campaign office was Mrs. Ruth Tremull, a native from Venezuela with little or broken english. She provided as much information as possible but in various occasions did not understand exactly what we were asking for.

7.) **We received the financial information from the campaign on August 10, 2016 and reported on August 12, 2016 for the second quarter and August 15, 2016 for the pre-election report.** When we knew what to do, we gave those two reports top priority!

***See submitted evidence; section-C**

Certified copies of documents submitted to the commission and postmarked adequately.

We understand that ignorance of the law does not exonerate the offense but there is also the intention of compliance with the law which we demonstrated to have once we received the professional guidance we lacked.

The challenge: Part-C.

Challenge of the amount of monetary penalty.

As mentioned in Part-A of our Challenge, ALL monies received to open the account of the Campaign Ernie Rivera for Florida were done with the private funds of the candidate and with clear and specific instructions that they were in character as a "Loan" to his campaign to be paid back immediately when donors who had promised him financial support would start giving him the money.

During the entire campaign never did the campaign receive up to \$5,000.00 or more in donations from his supporters. The short lived campaign was financed almost in its totality by Loans that the candidate made to the campaign and he always instructed to have all checks identified properly as Loans and informing it to his staff.

In accordance to the FEC's rules stated already, any LOAN by the candidate is NOT to be considered as a DONATION and therefore does not fall into that category.

ERNIE RIVERA FOR FLORIDA
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In addition to this, the major deposit made by the candidate, Mr. Ernie Rivera, at the end of the month of June 2016, in the amount of \$250,000.00 was clearly reported as a **Short Term Loan** to the campaign from his personal funds solely with the intention to comply with the party's unwritten rule that a campaign to be taken seriously by the party should show financial viability. This was intended before Mr. Marco Rubio announced his intentions to run once again for the Senate.

The total expenditures for the Ernie Rivera for Florida Campaign do not surpass over \$83,000.00 in his whole time running.

Our basis for this challenge with all due respect is based on the fact that we believe the Commission was misinformed that the campaign had received donations in amounts far beyond the reality proving by evidence submitted by bank statements and FEC reports that these were **LOANS** made by the candidate and **NOT** Donations.

The challenge: Part – D

Corroborating evidence, Affidavits and Declarations.

Evidence Section-A/ **Bank statements and certification for the months of April, May, June, July, August and September 2016 at Sun Trust, Land O Lakes, FL.**

Evidence Section-B/ **FEC Form-1, Establishing Organization.**

Evidence Section-C/ **Documents mailed on dates mentioned. Certified by U.S. Mail.**

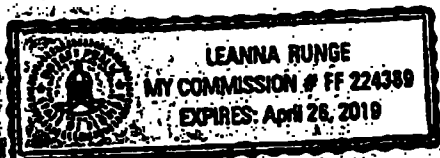
Evidence Section-D/ **Closing of Bank Account and Certification Document: "Hard Closing" by bank manager herself, Ms. Lisa Francis.**

CERTIFICATION

I, **Ernesto J. Rivera**, hereby certify that the Bank Statements of the account; **Ernie Rivera for Florida**, number of account # [REDACTED] of the months from April to September of 2016, submitted from Sun Trust Bank are true and exact and that these are copies requested by me to the bank.

In Land O Lakes, Florida, today October 18, 2016.


Ernesto J. Rivera





14002117004

FEC
FORM 1

STATEMENT OF
ORGANIZATION

COPY

Office Use Only

1. NAME OF
COMMITTEE (in full)

☐

(Check if name
is changed)

Example: If typing, type
over the lines.

12FE4M5

Ernie Rivera For Florida

ADDRESS (number and street)

PO Box 9

☐

(Check if address
is changed)

Land O' Lakes

FL

34639

CITY

STATE

ZIP CODE

COMMITTEE'S E-MAIL ADDRESS (Please provide only one e-mail address)

☐

(Check if address
is changed)

erniejamespr@yahoo.com

COMMITTEE'S WEB PAGE ADDRESS (URL)

☐

(Check if address
is changed)

2. DATE

05

19

2016

3. FEC IDENTIFICATION NUMBER

C

4. IS THIS STATEMENT

☒

NEW (N)

OR

☐

AMENDED (A)

I certify that I have examined this Statement and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Alan K. Geer, CPA

Signature of Treasurer

Alan K. Geer, CPA

Date

05

20

2016

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Statement to the penalties of 2 U.S.C. §437g.

ANY CHANGE IN INFORMATION SHOULD BE REPORTED WITHIN 10 DAYS.

Office
Use
Only

For further information contact:
Federal Election Commission
Toll Free 800-424-9530
Local 202-694-1100

FEC FORM 1
(Revised 02/2009)

5. TYPE OF COMMITTEE

Candidate Committee:

- (a) ☒ This committee is a principal campaign committee. (Complete the candidate information below.)
- (b) ☐ This committee is an authorized committee, and is NOT a principal campaign committee. (Complete the candidate information below.)

Name of Candidate

Ernesto Rivera

Candidate Party Affiliation

REP

Office Sought:

☐ House

House

☒ Senate

Senate

☐ President

President

State

FL

District

- (c) ☐ This committee supports/opposes only one candidate, and is NOT an authorized committee.

Name of Candidate

Party Committee:

- (d) ☒ This committee is a NAT (National, State or subordinate) committee of the REP (Democratic, Republican, etc.) Party.

Political Action Committee (PAC):

- (e) ☐ This committee is a separate segregated fund. (Identify connected organization on line 6.) Its connected organization is a:
- ☐ Corporation ☐ Corporation w/o Capital Stock ☐ Labor Organization
- ☐ Membership Organization ☐ Trade Association ☐ Cooperative
- ☐ In addition, this committee is a Lobbyist/Registrant PAC.
- (f) ☐ This committee supports/opposes more than one Federal candidate, and is NOT a separate segregated fund or party committee. (i.e., nonconnected committee)
- ☐ In addition, this committee is a Lobbyist/Registrant PAC.
- ☐ In addition, this committee is a Leadership PAC. (Identify sponsor on line 6.)

Joint Fundraising Representative:

- (g) ☐ This committee collects contributions, pays fundraising expenses and disburses net proceeds for two or more political committees/organizations, at least one of which is an authorized committee of a federal candidate.
- (h) ☐ This committee collects contributions, pays fundraising expenses and disburses net proceeds for two or more political committees/organizations, none of which is an authorized committee of a federal candidate.

Committees Participating in Joint Fundraiser

1.	<u></u>	FEC ID number	<u>C</u>
2.	<u></u>	FEC ID number	<u>C</u>
3.	<u></u>	FEC ID number	<u>C</u>
4.	<u></u>	FEC ID number	<u>C</u>

110027127009

Write or Type Committee Name

Ernie Rivera For Florida

6. Name of Any Connected Organization, Affiliated Committee, Joint Fundraising Representative, or Leadership PAC Sponsor

None

Mailing Address

CITY

STATE

ZIP CODE

Relationship: ☐ Connected Organization ☐ Affiliated Committee ☐ Joint Fundraising Representative ☐ Leadership PAC Sponsor

7. Custodian of Records: Identify by name, address (phone number -- optional) and position of the person in possession of committee books and records.

Full Name

Alan K. Geer

Mailing Address

7401 D Temple Terrace Hwy

Tampa

FL

33637

Title or Position

CITY

STATE

ZIP CODE

Telephone number

8. Treasurer: List the name and address (phone number -- optional) of the treasurer of the committee; and the name and address of any designated agent (e.g., assistant treasurer).

Full Name
of Treasurer

Alan K. Geer

Mailing Address

7401 D Temple Terrace Hwy

Tampa

FL

33637

Title or Position

CITY

STATE

ZIP CODE

Telephone number

813 - 988 - 9564

TREASURER

Full Name of
Designated
Agent

Atasha Rampial

Mailing Address

7401 D Temple Terrace Hwy

Tampa

CITY

FL

STATE

33637

ZIP CODE

Title or Position

Assistant Treasurer

Telephone number

813

- 988

- 9564

9. Banks or Other Depositories: List all banks or other depositories in which the committee deposits funds, holds accounts, rents safety deposit boxes or maintains funds.

Name of Bank, Depository, etc.

Suntrust

Mailing Address

7914 Land O' Lakes Blvd

Land O' Lakes

CITY

FL

STATE

34639

ZIP CODE

Name of Bank, Depository, etc.

Mailing Address

CITY

STATE

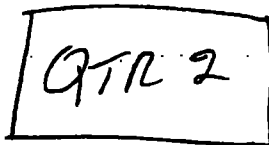
ZIP CODE



USPS Tracking®

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[Sign up for My USPS.](#)

Tracking Number: 70161370000077690336



Updated Delivery Day: Friday, August 19, 2016

Product & Tracking Information

Postal Product:
First-Class Mail®

Features:

Certified Mail™

Return Receipt

See tracking for related item: 9590940217876074449193

Available Actions

DATE & TIME	STATUS OF ITEM	LOCATION
August 19, 2016 , 6:49 am	Delivered, To Mail Room	WASHINGTON, DC 20510

Your item has been delivered to the mail room at 6:49 am on August 19, 2016 in WASHINGTON, DC 20510.

August 19, 2016 , 6:14 am	Arrived at Unit	WASHINGTON, DC 20018
August 13, 2016 , 2:26 am	Departed USPS Facility	TAMPA, FL 33630
August 12, 2016 , 10:52 pm	Arrived at USPS Origin Facility	TAMPA, FL 33630
August 12, 2016 , 7:17 pm	Departed Post Office	TAMPA, FL 33617
✓ August 12, 2016 , 5:38 pm	Acceptance	TAMPA, FL 33617

Track Another Package

Tracking (or receipt) number

Track It

Manage Incoming Packages

Track all your packages from a dashboard.
No tracking numbers necessary.[Sign up for My USPS](#)

SENDER: COMPLETE THIS SECTION

- Complete items 1, 2, and 3.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.



2. Article Number. (Transfer from service label)

PS Form 3811, July 2015 PSN: 730-02-000-9053

COMPLETE THIS SECTION ON DELIVERY

A. Signature X Ryan Hays Agent ☒ Addressee ☐

B. Received by (Printed Name) Dyan Hays C. Date of Delivery 8-22-96

D. Is delivery address different from item 1? ☒ Yes
If YES, enter delivery address below: ☒ No

- | 3. Service Type | 4. Signature | 5. Signature Confirmation™ | 6. Restricted Delivery | 7. Return Receipt for Merchandise | 8. Signature Confirmation™ | 9. Signature Confirmation | 10. Restricted Delivery | 11. Priority Mail Express® |
|--|--|--|--|---|--|---|--|---|
| <input type="checkbox"/> Adult Signature | <input type="checkbox"/> Adult Signature | <input type="checkbox"/> Signature Confirmation™ | <input type="checkbox"/> Restricted Delivery | <input type="checkbox"/> Return Receipt for Merchandise | <input type="checkbox"/> Signature Confirmation™ | <input type="checkbox"/> Signature Confirmation | <input type="checkbox"/> Restricted Delivery | <input type="checkbox"/> Priority Mail Express® |
| <input type="checkbox"/> Certified Mail® | <input type="checkbox"/> Certified Mail® | <input type="checkbox"/> Signature Confirmation™ | <input type="checkbox"/> Restricted Delivery | <input type="checkbox"/> Return Receipt for Merchandise | <input type="checkbox"/> Signature Confirmation™ | <input type="checkbox"/> Signature Confirmation | <input type="checkbox"/> Restricted Delivery | <input type="checkbox"/> Priority Mail Express® |
| <input type="checkbox"/> Collect on Delivery | <input type="checkbox"/> Collect on Delivery | <input type="checkbox"/> Signature Confirmation™ | <input type="checkbox"/> Restricted Delivery | <input type="checkbox"/> Return Receipt for Merchandise | <input type="checkbox"/> Signature Confirmation™ | <input type="checkbox"/> Signature Confirmation | <input type="checkbox"/> Restricted Delivery | <input type="checkbox"/> Priority Mail Express® |
| <input type="checkbox"/> Insured Mail | <input type="checkbox"/> Insured Mail | <input type="checkbox"/> Signature Confirmation™ | <input type="checkbox"/> Restricted Delivery | <input type="checkbox"/> Return Receipt for Merchandise | <input type="checkbox"/> Signature Confirmation™ | <input type="checkbox"/> Signature Confirmation | <input type="checkbox"/> Restricted Delivery | <input type="checkbox"/> Priority Mail Express® |

Domestic Return Receipt

FEC
FORM 3

**REPORT OF RECEIPTS
AND DISBURSEMENTS**
For An Authorized Committee

COPY

Office Use Only

1. NAME OF
COMMITTEE (in full)

TYPE OR PRINT ▼

Example: If typing, type
over the lines.

12FE4M5

ERNIE RIVERA FOR FLORIDA

ADDRESS (number and street)

P O BOX 9



Check if different
than previously
reported. (ACC)

LAND O' LAKES

FL

34639

CITY ▲

STATE ▲

ZIP CODE ▲

2. FEC IDENTIFICATION NUMBER ▼

C 00607564

3. IS THIS
REPORT



NEW
(N)

OR



AMENDED
(A)

STATE ▼ DISTRICT

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:



April 15 Quarterly Report (Q1)



July 15 Quarterly Report (Q2)



October 15 Quarterly Report (Q3)



January 31 Year-End Report (YE)



Termination Report (TER)

(b) 12-Day PRE-Election Report for the:



Primary (12P)



General (12G)



Runoff (12R)



Convention (12C)



Special (12S)

Election on

M M

D D

Y Y Y Y Y

in the
State of

(c) 30-Day POST-Election Report for the:



General (30G)



Runoff (30R)



Special (30S)

Election on

M M

D D

Y Y Y Y Y

In the
State of

5. Covering Period

04

01

2016

through

06

30

2016

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

ALAN K. GEER, CIA

Signature of Treasurer

Alan K. Geer, CIA

Date

08

12

2016

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 52 U.S.C. §30109.

Office
Use
Only

FEC FORM 3
(Revised 02/2003)

SUMMARY PAGE
of Receipts and Disbursements

FEC Form 3 (Revised 02/2003)

Page 2

Write or Type Committee Name
Ernie Rivera for Florida

Report Covering the Period:

From:

04 / 01 / 2016

To:

06 / 30 / 2016

	COLUMN A This Period	COLUMN B Election Cycle-to-Date
6. Net Contributions (other than loans)		
(a) Total Contributions (other than loans) (from Line 11(e))	3 5 0 0 0	6 5 0 0 0
(b) Total Contribution Refunds (from Line 20(d))		
(c) Net Contributions (other than loans) (subtract Line 6(b) from Line 6(a))	3 5 0 0 0	6 5 0 0 0
7. Net Operating Expenditures		
(a) Total Operating Expenditures (from Line 17)	2 8 9 2 8 2 9	4 1 2 6 2 1 9
(b) Total Offsets to Operating Expenditures (from Line 14)		
(c) Net Operating Expenditures (subtract Line 7(b) from Line 7(a))	2 8 9 2 8 2 9	4 1 2 6 2 1 9
8. Cash on Hand at Close of Reporting Period (from Line 27)	2 5 1 7 1 9 6 0	
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)		
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)		

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE of Receipts

FEC Form 3 (Revised 12/2003)

Page 3

Write or Type Committee Name

Ernie Rivera for Florida

Report Covering the Period:

From:

04 / 01 / 2016

To:

06 / 30 / 2016

I. RECEIPTS

COLUMN A
Total This Period

COLUMN B
Election Cycle-to-Date

11. CONTRIBUTIONS (other than loans) FROM:

(a) Individuals/Persons Other Than
Political Committees

(i) Itemized (use Schedule A).....

20000

20000

(ii) Unitemized.....

15000

45000

(iii) TOTAL of contributions
from individuals ▶

35000

65000

(b) Political Party Committees.....

(c) Other Political Committees
(such as PACs).....

(d) The Candidate.....

(e) TOTAL CONTRIBUTIONS
(other than loans)
(add Lines 11(a)(iii), (b), (c), and (d))..

35000

65000

12. TRANSFERS FROM OTHER AUTHORIZED COMMITTEES.....

13. LOANS:

(a) Made or Guaranteed by the
Candidate.....

28000000

29233179

(b) All Other Loans.....

(c) TOTAL LOANS
(add Lines 13(a) and (b)).....

28000000

29233179

14. OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.).....

15. OTHER RECEIPTS (Dividends, Interest, etc.).....

16. TOTAL RECEIPTS (add Lines 11(e), 12, 13(c), 14, and 15) (Carry Total to Line 24, page 4)..... ▶

28035000

29298179

DETAILED SUMMARY PAGE of Disbursements

FEC Form 3 (Revised 02/2003)

Page 4

II. DISBURSEMENTS

COLUMN A
Total This Period

COLUMN B
Election Cycle-to-Date

17. OPERATING EXPENDITURES.....

2 8 9 2 8 2 9

4 1 2 6 2 1 9

18. TRANSFERS TO OTHER
AUTHORIZED COMMITTEES

19. LOAN REPAYMENTS:

(a) Of Loans Made or Guaranteed
by the Candidate.....

(b) Of All Other Loans

(c) TOTAL LOAN REPAYMENTS
(add Lines 19(a) and (b)).....

20. REFUNDS OF CONTRIBUTIONS TO:

(a) Individuals/Persons Other
Than Political Committees

(b) Political Party Committees.....

(c) Other Political Committees
(such as PACs)

(d) TOTAL CONTRIBUTION REFUNDS
(add Lines 20(a), (b), and (c)).....

21. OTHER DISBURSEMENTS.....

22. TOTAL DISBURSEMENTS
(add Lines 17, 18, 19(c), 20(d), and 21) ►

2 8 9 2 8 2 9

4 1 2 6 2 1 9

III. CASH SUMMARY

23. CASH ON HAND AT BEGINNING OF REPORTING PERIOD.....

2 9 7 8 9

24. TOTAL RECEIPTS THIS PERIOD (from Line 16, page 3).....

2 8 0 3 5 0 0 0

25. SUBTOTAL (add Line 23 and Line 24).....

2 8 0 6 4 7 8 9

26. TOTAL DISBURSEMENTS THIS PERIOD (from Line 22).....

2 8 9 2 8 2 9

27. CASH ON HAND AT CLOSE OF REPORTING PERIOD
(subtract Line 26 from Line 25).....

2 5 1 7 1 9 6 0

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:		PAGE	OF
(check only one)			
<input type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 11d
<input type="checkbox"/> 12	<input checked="" type="checkbox"/> 13a	<input type="checkbox"/> 13b	<input type="checkbox"/> 14 <input type="checkbox"/> 15

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

ERNIE RIVERA FOR FLORIDA

PAGE 1A

Full Name (Last, First, Middle Initial)

A. RIVERA, ERNIE J.

Mailing Address
PO BOX 9

City State Zip Code
LAND O'LAKES FL 34639

FEC ID number of contributing federal political committee.

C 00607564

Name of Employer
LAS AMERICAS

Occupation

Receipt For:

☒ Primary ☐ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

13 8 3 1 7 9

Date of Receipt

04 / 08 / 2016

Amount of Each Receipt this Period

1 5 0 0 0 0

☐ Memo Item

Full Name (Last, First, Middle Initial)

B. RIVERA, ERNIE J

Mailing Address
PO BOX 9

City State Zip Code
LAND O'LAKES FL 34639

FEC ID number of contributing federal political committee.

C 00607564

Name of Employer
LAS AMERICAS

Occupation

Receipt For:

☒ Primary ☐ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

1 7 8 3 1 7 9

Date of Receipt

04 / 19 / 2016

Amount of Each Receipt this Period

4 0 0 0 0 0

☐ Memo Item

Full Name (Last, First, Middle Initial)

C. RIVERA, ERNIE J

Mailing Address
PO BOX 9

City State Zip Code
LAND O'LAKES FL 34639

FEC ID number of contributing federal political committee.

C 00607564

Name of Employer
LAS AMERICAS

Occupation

Receipt For:

☒ Primary ☐ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

2 0 8 3 1 7 9

Date of Receipt

04 / 29 / 2016

Amount of Each Receipt this Period

3 0 0 0 0 0

☐ Memo Item

SUBTOTAL of Receipts This Page (optional).....▶

8 5 0 0 0 0

TOTAL This Period (last page this line number only).....▶

8 5 0 0 0 0

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:		PAGE		OF	
<input type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 11d	<input type="checkbox"/> 12	<input type="checkbox"/> 15
<input checked="" type="checkbox"/> 13a	<input type="checkbox"/> 13b	<input type="checkbox"/> 13c	<input type="checkbox"/> 13d	<input type="checkbox"/> 14	<input type="checkbox"/> 15

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NAME OF COMMITTEE (In Full)

ERNIE RIVERA FOR FLORIDA

PAGE 2A

A. Full Name (Last, First, Middle Initial)
RIVERA, ERNIE J

Mailing Address
PO BOX 9

City **LAND O LAKES** State **FL** Zip Code **3439**

FEC ID number of contributing federal political committee. **C 00607564**

Name of Employer **LAS AMERICAS** Occupation

Receipt For:
☒ Primary ☐ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼
2 3 3 3 1 7 9

Date of Receipt
05 / 03 / 2016

Amount of Each Receipt this Period
2 5 0 0 0 0

☐ Memo Item

B. Full Name (Last, First, Middle Initial)
RIVERA, ERNIE J

Mailing Address
PO BOX 9

City **LAND O LAKES** State **FL** Zip Code **34639**

FEC ID number of contributing federal political committee. **C**

Name of Employer **LAS AMERICAS** Occupation

Receipt For:
☒ Primary ☐ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼
2 4 3 3 1 7 9

Date of Receipt
05 / 18 / 2016

Amount of Each Receipt this Period
1 0 0 0 0 0

☐ Memo Item

C. Full Name (Last, First, Middle Initial)
RIVERA, ERNIE J

Mailing Address
PO BOX 9

City **LAND O LAKES** State **FL** Zip Code **34639**

FEC ID number of contributing federal political committee. **C 00607564**

Name of Employer **LAS AMERICAS** Occupation

Receipt For:
☒ Primary ☐ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼
3 0 3 3 1 7 9

Date of Receipt
06 / 02 / 2016

Amount of Each Receipt this Period
6 0 0 0 0 0

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶

TOTAL This Period (last page this line number only) ▶

9 5 0 0 0 0

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:		PAGE	OF
(check only one)			
<input type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 11d
<input type="checkbox"/> 12	<input checked="" type="checkbox"/> 13a	<input type="checkbox"/> 13b	<input type="checkbox"/> 14
		<input type="checkbox"/> 15	

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NAME OF COMMITTEE (In Full)

ERNIE RIVERA FOR FLORIDA

PAGE 3A

Full Name (Last, First, Middle Initial) A. RIVERA, ERNIE J		Date of Receipt MM / DD / YYYY 06 / 20 / 2016
Mailing Address PO BOX 9		Amount of Each Receipt this Period 1 000 00
City LAND O LAKES	State Zip Code FL 34639	
FEC ID number of contributing federal political committee. C 00607564		Memo Item <input type="checkbox"/>
Name of Employer LAS AMERICAS	Occupation	
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Election Cycle-to-Date 3 1 3 3 1 7 9	
Full Name (Last, First, Middle Initial) B. RIVERA, ERNIE J		Date of Receipt MM / DD / YYYY 06 / 23 / 2016
Mailing Address PO BOX 9		Amount of Each Receipt this Period 1 1 0 0 0 0 0
City LAND O LAKES	State Zip Code FL 34639	
FEC ID number of contributing federal political committee. C 00607564		Memo Item <input type="checkbox"/>
Name of Employer LAS AMERICA	Occupation	
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Election Cycle-to-Date 4 2 3 3 1 7 9	
Full Name (Last, First, Middle Initial) C. RIVERA ERNIE J		Date of Receipt MM / DD / YYYY 06 / 30 / 2016
Mailing Address PO BOX 9		Amount of Each Receipt this Period 2 5 0 0 0 0 0
City LAND O LAKES	State Zip Code FL 34639	
FEC ID number of contributing federal political committee. C 00607564		Memo Item <input type="checkbox"/>
Name of Employer LAS AMERICAS	Occupation	
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Election Cycle-to-Date 2 9 2 3 3 1 7 9	
SUBTOTAL of Receipts This Page (optional).....▶		2 6 2 0 0 0 0 0
TOTAL This Period (last page this line number only).....▶		2 8 0 0 0 0 0 0

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:		PAGE	OF
(check only one)			
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 11d
<input type="checkbox"/> 12	<input type="checkbox"/> 13a	<input type="checkbox"/> 13b	<input type="checkbox"/> 14 <input type="checkbox"/> 15

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NAME OF COMMITTEE (In Full)

ERNIE RIVERA FOR FLORIDA

PAGE A4

Full Name (Last, First, Middle Initial)

GEER, ALAN K.

A.

Mailing Address

7401 D TEMPLE TERRACE HWY

City

TAMPA

State

FL

Zip Code

33637

FEC ID number of contributing
federal political committee.

C 00607564

Name of Employer

LAS AMERICAS

Occupation

Receipt For:

☒ Primary ☐ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

2 0 0 0 0

Date of Receipt

05 / 04 / 2016

Amount of Each Receipt this Period

2 0 0 . 0 0

☐ Memo Item

Full Name (Last, First, Middle Initial)

B.

MISC SMALL DONATIONS

Mailing Address

City

State

Zip Code

FEC ID number of contributing
federal political committee.

C 00607564

Name of Employer

Occupation

Receipt For:

☒ Primary ☐ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

4 5 0 . 0 0

Date of Receipt

06 / 20 / 2016

Amount of Each Receipt this Period

1 5 0 . 0 0

☐ Memo Item

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City

State

Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

Date of Receipt

Amount of Each Receipt this Period

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶

3 5 0 . 0 0

TOTAL This Period (last page this line number only) ▶

6 5 0 . 0 0

**SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: (check only one)		PAGE	OF
<input checked="" type="checkbox"/> 17 20a	<input type="checkbox"/> 18 20b	<input type="checkbox"/> 19a 20c	<input type="checkbox"/> 19b 21

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NAME OF COMMITTEE (In Full) ERNIE RIVERA FOR FLORIDA	PAGE 1B
--	----------------

Full Name (Last, First, Middle Initial) A. TREMUL, RUTH		Date of Disbursement MM / DD / YYYY 03 / 31 / 2016
Mailing Address 9241 PEBBLE CREEK DR		Amount of Each Disbursement this Period _____ 450.00 <input type="checkbox"/> Memo Item
City TAMPA	State FL	
Zip Code 33647	Purpose of Disbursement SALARIES	
Candidate Name ERNIE RIVERA	Category/Type 0.01	
Office Sought: <input type="checkbox"/> House <input checked="" type="checkbox"/> Senate <input type="checkbox"/> President	Disbursement For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	
State: FL District: _____		

Full Name (Last, First, Middle Initial) B. TREMUL, RUTH		Date of Disbursement MM / DD / YYYY 04 / 05 / 2016
Mailing Address 9241 PEBBLE CREEK DR		Amount of Each Disbursement this Period _____ 400.00 <input type="checkbox"/> Memo Item
City TAMPA	State FL	
Zip Code 33647	Purpose of Disbursement SALARIES	
Candidate Name ERNIE RIVERA	Category/Type 0.01	
Office Sought: <input type="checkbox"/> House <input checked="" type="checkbox"/> Senate <input type="checkbox"/> President	Disbursement For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	
State: FL District: _____		

Full Name (Last, First, Middle Initial) C. TREMUL, RUTH		Date of Disbursement MM / DD / YYYY 04 / 20 / 2016
Mailing Address 9241 PEBBLE CREEK DR		Amount of Each Disbursement this Period _____ 500.00 <input type="checkbox"/> Memo Item
City TAMPA	State FL	
Zip Code 33647	Purpose of Disbursement SALARIES	
Candidate Name ERNIE RIVERA	Category/Type 0.01	
Office Sought: <input type="checkbox"/> House <input checked="" type="checkbox"/> Senate <input type="checkbox"/> President	Disbursement For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	
State: FL District: _____		

SUBTOTAL of Disbursements This Page (optional).....	_____ 1350.00
TOTAL This Period (last page this line number only).....	_____

**SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: (check only one)		PAGE OF	
<input checked="" type="checkbox"/> 17	<input type="checkbox"/> 18	<input type="checkbox"/> 19a	<input type="checkbox"/> 19b
<input type="checkbox"/> 20a	<input type="checkbox"/> 20b	<input type="checkbox"/> 20c	<input type="checkbox"/> 21

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NAME OF COMMITTEE (In Full) ERNIE RIVERA FOR FLORIDA	PAGE 2B
--	---------

Full Name (Last, First, Middle Initial) A. TREMUL, RUTH		Date of Disbursement MM / DD / YYYY 04 / 27 / 2016
Mailing Address 9241 PEBBLE CREEK DR		Amount of Each Disbursement this Period _____ 8 0 0 0 0
City TAMPA	State FL	
Purpose of Disbursement SALARIES	Zip Code 33647	Category/ Type 0 0 1
Candidate Name ERNIE RIVERA	Office Sought: <input type="checkbox"/> House <input checked="" type="checkbox"/> Senate <input type="checkbox"/> President	
Disbursement For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	State: FL District:	<input type="checkbox"/> Memo Item

Full Name (Last, First, Middle Initial) B. TREMUL, RUTH		Date of Disbursement MM / DD / YYYY 06 / 01 / 2016
Mailing Address 9241 PEBBLE CREEK DR		Amount of Each Disbursement this Period _____ 8 0 0 0 0
City TAMPA	State FL	
Purpose of Disbursement salaries	Zip Code 33647	Category/ Type 0 0 1
Candidate Name ERNIE RIVERA	Office Sought: <input type="checkbox"/> House <input checked="" type="checkbox"/> Senate <input type="checkbox"/> President	
Disbursement For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	State: FL District:	<input type="checkbox"/> Memo Item

Full Name (Last, First, Middle Initial) C. TREMUL, RUTH		Date of Disbursement MM / DD / YYYY 05 / 18 / 2016
Mailing Address		Amount of Each Disbursement this Period _____ 1 1 0 5 5
City	State	
Purpose of Disbursement ADMIN	Zip Code	Category/ Type 0 0 1
Candidate Name ERNIE RIVERA	Office Sought: <input type="checkbox"/> House <input checked="" type="checkbox"/> Senate <input type="checkbox"/> President	
Disbursement For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	State: District:	<input type="checkbox"/> Memo Item

SUBTOTAL of Disbursements This Page (optional).....▶	1 7 1 1 6 5
TOTAL This Period (last page this line number only).....▶	

SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: (check only one)		PAGE	OF
<input checked="" type="checkbox"/> 17 20a	<input type="checkbox"/> 18 20b	<input type="checkbox"/> 19a 20c	<input type="checkbox"/> 19b 21

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NAME OF COMMITTEE (In Full)

ERNIE RIVERA FOR FLORIDA

PAGE 3 B

Full Name (Last, First, Middle Initial)

A. TAYLOR, LAURIE

Mailing Address
2502 LAND O LAKES BLV

City State Zip Code
LAND O LAKES FL 34639

Purpose of Disbursement
RENT AND UTILITIES

Candidate Name
ERNIE RIVERA

001

Category/
Type

Office Sought: ☐ House
☒ Senate
☐ President

Disbursement For: ☒ Primary ☐ General
☐ Other (specify) ▼

State: FL District:

Date of Disbursement

05 / 31 / 2016

Amount of Each Disbursement this Period

1,114.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

B. CAVAZOS, MOSES

Mailing Address
105 BENT CREEK CT

City State Zip Code
WAXAHACHIE TX 75166

Purpose of Disbursement
SALARIES

Candidate Name
ERNIE RIVERA

001

Category/
Type

Office Sought: ☐ House
☒ Senate
☐ President

Disbursement For: ☒ Primary ☐ General
☐ Other (specify) ▼

State: FL District:

Date of Disbursement

04 / 08 / 2016

Amount of Each Disbursement this Period

5,000.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

C. CAVAZOS, MOSES

Mailing Address

City State Zip Code

Purpose of Disbursement
SALARIES

Candidate Name
ERNIE RIVERA

001

Category/
Type

Office Sought: ☐ House
☒ Senate
☐ President

Disbursement For: ☒ Primary ☐ General
☐ Other (specify) ▼

State: FL District:

Date of Disbursement

04 / 20 / 2016

Amount of Each Disbursement this Period

1,000.00

☐ Memo Item

SUBTOTAL of Disbursements This Page (optional)

2,614.00

TOTAL This Period (last page this line number only)

**SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: (check only one)		PAGE	OF
<input checked="" type="checkbox"/> 17	<input type="checkbox"/> 18	<input type="checkbox"/> 19a	<input type="checkbox"/> 19b
<input type="checkbox"/> 20a	<input type="checkbox"/> 20b	<input type="checkbox"/> 20c	<input type="checkbox"/> 21

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NAME OF COMMITTEE (In Full)

ERNIE RIVERA FOR FLORIDA

PAGE 4 B

Full Name (Last, First, Middle Initial)

A. CAVAZOS, MOSES

Mailing Address

City State Zip Code

Purpose of Disbursement

SALARIES

Candidate Name

ERNIE RIVERA

001

Category/
Type

Office Sought: ☐ House
☒ Senate
☐ President

Disbursement For: ☒ Primary ☐ General
☐ Other (specify) ▼

State: FL District:

Full Name (Last, First, Middle Initial)

Date of Disbursement

04 / 27 / 2016

Amount of Each Disbursement this Period

523 89

☐ Memo Item

B. DIAZ, MINERVA

Mailing Address

3526 PICKERELL PL

City State Zip Code
TRINITY FL 34655

Purpose of Disbursement

SALARIES

Candidate Name

ERNIE RIVERA

001

Category/
Type

Office Sought: ☐ House
☒ Senate
☐ President

Disbursement For: ☒ Primary ☐ General
☐ Other (specify) ▼

State: FL District:

Full Name (Last, First, Middle Initial)

Date of Disbursement

04 / 20 / 2016

Amount of Each Disbursement this Period

500 00

☐ Memo Item

C. DIAZ, MINERVA

Mailing Address

City State Zip Code

Purpose of Disbursement

SALARIES

Candidate Name

001

Category/
Type

Office Sought: ☐ House
☒ Senate
☐ President

Disbursement For: ☒ Primary ☐ General
☐ Other (specify) ▼

State: FL District:

Date of Disbursement

04 / 27 / 2016

Amount of Each Disbursement this Period

1000 00

☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶

2023 89

TOTAL This Period (last page this line number only) ▶

**SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE OF

☒ 17 ☐ 18 ☐ 19a ☐ 19b
☐ 20a ☐ 20b ☐ 20c ☐ 21

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NAME OF COMMITTEE (In Full)

ERNIE RIVERA FOR FLORIDA

PAGE 5 B.

Full Name (Last, First, Middle Initial)

A. DIAZ, MINERVA

Mailing Address

City

State

Zip Code

Purpose of Disbursement

SALARIES AND ADMIN

Candidate Name

001

Category/
Type

Office Sought:

☐ House
☒ Senate
☐ President

Disbursement For:

☒ Primary ☐ General
☐ Other (specify) ▼

State: FL

District:

Full Name (Last, First, Middle Initial)

Date of Disbursement

05 / 16 / 2016

Amount of Each Disbursement this Period

1,131.86

☐ Memo Item

B. GRANADO, LEON

Mailing Address

4201 WOODSTORKS WALK AWAY

City

State

Zip Code

LUTZ

FL

33558

Purpose of Disbursement

SALARIES

Candidate Name

ERNIE RIVERA

001

Category/
Type

Office Sought:

☐ House
☒ Senate
☐ President

Disbursement For:

☒ Primary ☐ General
☐ Other (specify) ▼

State: FL

District:

Full Name (Last, First, Middle Initial)

Date of Disbursement

04 / 27 / 2016

Amount of Each Disbursement this Period

2,000.00

☐ Memo Item

C. MCMAHOW, SEAN

Mailing Address

3526 PICKERELL PLACE

City

State

Zip Code

TRINITY

FL

34655

Purpose of Disbursement

SALARIES

Candidate Name

ERNIE RIVERA

001

Category/
Type

Office Sought:

☐ House
☒ Senate
☐ President

Disbursement For:

☒ Primary ☐ General
☐ Other (specify) ▼

State: FL

District:

Date of Disbursement

05 / 20 / 2016

Amount of Each Disbursement this Period

925.00

☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶

4,056.86

TOTAL This Period (last page this line number only) ▶

**SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: (check only one)		PAGE	OF
<input checked="" type="checkbox"/> 17	<input type="checkbox"/> 18	<input type="checkbox"/> 19a	<input type="checkbox"/> 19b
<input type="checkbox"/> 20a	<input type="checkbox"/> 20b	<input type="checkbox"/> 20c	<input type="checkbox"/> 21

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NAME OF COMMITTEE (In Full) ERNIE RIVERA FOR FLORIDA	PAGE 6 OF B
--	---------------------------

Full Name (Last, First, Middle Initial) GRANADO, LEON		Date of Disbursement MM / DD / YYYY 06 / 16 / 2016
Mailing Address		Amount of Each Disbursement this Period \$ 1,000.00 <input type="checkbox"/> Memo Item
City	State Zip Code	
Purpose of Disbursement SALARIES	Category/Type 001	
Candidate Name ERNIE RIVERA	Office Sought: <input type="checkbox"/> House <input checked="" type="checkbox"/> Senate <input type="checkbox"/> President Disbursement For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ State: FL District:	

Full Name (Last, First, Middle Initial) MISC		Date of Disbursement MM / DD / YYYY 05 / 19 / 2016
Mailing Address		Amount of Each Disbursement this Period \$ 5,847.77 <input type="checkbox"/> Memo Item
City	State Zip Code	
Purpose of Disbursement POSTAGE/OFFICE SUPPLIES / WIFI	Category/Type 001	
Candidate Name ERNIE RIVERA	Office Sought: <input type="checkbox"/> House <input checked="" type="checkbox"/> Senate <input type="checkbox"/> President Disbursement For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ State: FL District:	

Full Name (Last, First, Middle Initial) DEPARTMENT OF STATE		Date of Disbursement MM / DD / YYYY 06 / 23 / 2016
Mailing Address		Amount of Each Disbursement this Period \$ 1,044.00 <input type="checkbox"/> Memo Item
City	State Zip Code FLORIDA	
Purpose of Disbursement BALLOT FILING FEE	Category/Type 001	
Candidate Name ERNIE RIVERA	Office Sought: <input type="checkbox"/> House <input checked="" type="checkbox"/> Senate <input type="checkbox"/> President Disbursement For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ State: FL District:	

SUBTOTAL of Disbursements This Page (optional).....	\$ 12,024.77
TOTAL This Period (last page this line number only).....	

**SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE OF

☒ 17 ☐ 18 ☐ 19a ☐ 19b
20a 20b 20c 21

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NAME OF COMMITTEE (In Full)

ERNIE RIVERA FOR FLORIDA

PAGE 7 B

Full Name (Last, First, Middle Initial)

A. **TRUMEL, RUTH**

Mailing Address

City

State

Zip Code

Purpose of Disbursement

CATERING

Candidate Name

ERNIE RIVERA

Office Sought:

☐ House

☒ Senate

☐ President

Disbursement For:

☒ Primary

☐ General

☐ Other (specify) ▼

State: **FL**

District:

Full Name (Last, First, Middle Initial)

Date of Disbursement

MM / DD / YYYY
06 / 30 / 2016

Amount of Each Disbursement this Period

252.90

☐ Memo Item

B. **DIAZ, CARLOS**

Mailing Address

ALTURAS DEL PARQUE ESCORIAL 3007

City

State

Zip Code

CAROLINA PR 00987

Purpose of Disbursement

CONSULTING

Candidate Name

ERNIE RIVERA

Office Sought:

☐ House

☒ Senate

☐ President

Disbursement For:

☒ Primary

☐ General

☐ Other (specify) ▼

State: **FL**

District:

Full Name (Last, First, Middle Initial)

Date of Disbursement

MM / DD / YYYY
05 / 18 / 2016

Amount of Each Disbursement this Period

1,000.00

☐ Memo Item

C. **DIAZ, CARLOS**

Mailing Address

City

State

Zip Code

Purpose of Disbursement

CONSULTING

Candidate Name

ERNIE RIVERA

Office Sought:

☐ House

☒ Senate

☐ President

Disbursement For:

☒ Primary

☐ General

☐ Other (specify) ▼

State: **FL**

District:

Date of Disbursement

MM / DD / YYYY
06 / 01 / 2016

Amount of Each Disbursement this Period

1,500.00

☐ Memo Item

SUBTOTAL of Disbursements This Page (optional).....▶

TOTAL This Period (last page this line number only).....▶

2,752.90

**SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE OF

☒ 17 ☐ 18 ☐ 19a ☐ 19b
20a 20b 20c 21

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

ERNIER RIVERA FOR FLORIDA

PAGE 8 B

Full Name (Last, First, Middle Initial)

A. INBOX PUERTO RICO

Mailing Address

100 GRAND BLVD PASEOS AVE

City

SUTIE 112-139 SAN JUAN PR 00926

Purpose of Disbursement

ADVERTISING

Candidate Name

ERNIE RIVERA

Office Sought:

☐ House
☒ Senate
☐ President

Disbursement For:

☒ Primary ☐ General
☐ Other (specify) ▼

State: FL

District:

Date of Disbursement

04 / 26 / 2016

Amount of Each Disbursement this Period

2,000.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

B. VELESCO, JUAN

Mailing Address

City

State Zip Code

Purpose of Disbursement

CAMPAIGN PHOTOGRAPHER

Candidate Name

ERNIE RIVERA

Office Sought:

☐ House
☒ Senate
☐ President

Disbursement For:

☒ Primary ☐ General
☐ Other (specify) ▼

State: FL

District:

Date of Disbursement

04 / 20 / 2016

Amount of Each Disbursement this Period

2,000.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

C. MINUTEMAN PRINTING

Mailing Address

City

State Zip Code

Purpose of Disbursement

CAMPAIGN MATERIALS

Candidate Name

ERNIE RIVERA

Office Sought:

☐ House
☒ Senate
☐ President

Disbursement For:

☒ Primary ☐ General
☐ Other (specify) ▼

State: FL

District:

Date of Disbursement

06 / 09 / 2016

Amount of Each Disbursement this Period

249.67

☐ Memo Item

SUBTOTAL of Disbursements This Page (optional).....

6,496.7

TOTAL This Period (last page this line number only).....

**SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE OF

☐ 17 ☐ 18 ☐ 19a ☐ 19b
20a 20b 20c 21

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

ERNIE RIVERA FOR FLORIDA

PAGE 9B

Full Name (Last, First, Middle Initial)

A. CAMPAIGN EVENT EXPENSES

Mailing Address

City State Zip Code

Purpose of Disbursement
MISC CATERING

007

Candidate Name
ERNIE RIVERA

Category/
Type

Office Sought: ☐ House
☒ Senate
☐ President
State: FL District:

Disbursement For:
☒ Primary ☐ General
☐ Other (specify) ▼

Date of Disbursement

04 / 27 / 2016

Amount of Each Disbursement this Period

20836

☐ Memo Item

Full Name (Last, First, Middle Initial)

B. RIVERA, ERNIE

Mailing Address

PO BOX 9

City State Zip Code
LAND O LAKES FL 34639

Purpose of Disbursement
TRAVEL

Candidate Name
ERNIE RIVERA

Category/
Type

Office Sought: ☐ House
☒ Senate
☐ President
State: FL District:

Disbursement For:
☒ Primary ☐ General
☐ Other (specify) ▼

Date of Disbursement

06 / 30 / 2016

Amount of Each Disbursement this Period

153729

☐ Memo Item

C.

Mailing Address

City State Zip Code

Purpose of Disbursement

Candidate Name

Category/
Type

Office Sought: ☐ House
☐ Senate
☐ President
State: District:

Disbursement For:
☐ Primary ☐ General
☐ Other (specify) ▼

Date of Disbursement

MM / DD / YYYY

Amount of Each Disbursement this Period

☐ Memo Item

SUBTOTAL of Disbursements This Page (optional).....▶

174565

TOTAL This Period (last page this line number only).....▶

2892829

SCHEDULE C (FEC Form 3)
LOANS

Use separate schedule(s)
for each category of the
Detailed Summary Page

PAGE 1 OF 9

FOR LINE NUMBER:
(check only one)

☒ 13a
☐ 13b

NAME OF COMMITTEE (In Full)

ERNIE RIVERA FOR FLORIDA

LOAN SOURCE Full Name (Last, First, Middle Initial)

RIVERA, ERNIE J

☐ Memo Item

Election:

☒ Primary

☐ General

☐ Other (specify) ▼

Mailing Address

PO BOX 9

City

LAND O LAKES

State

FL

ZIP Code

34639

Original Amount of Loan

1 5 0 0 0 0

Cumulative Payment To Date

Balance Outstanding at Close of This Period

1 5 0 0 0 0

TERMS

Date Incurred

Date Due

Interest Rate

Secured:

04

08

2016

M M

D D

NONE

% (apr)

☐ Yes

☒ No

List All Endorsers or Guarantors (if any) to Loan Source

1. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

2. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

3. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

4. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

SUBTOTALS This Period This Page (optional)..... ►

1 5 0 0 0 0

TOTALS This Period (last page in this line only) ►

Carry outstanding balance only to LINE 3, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.

SCHEDULE C (FEC Form 3)
LOANS

Use separate schedule(s)
for each category of the
Detailed Summary Page

PAGE 2 OF 9

FOR LINE NUMBER:
(check only one)

☒ 13a
☐ 13b

NAME OF COMMITTEE (In Full)

ERNIE RIVERA FOR FLORIDA

LOAN SOURCE Full Name (Last, First, Middle Initial)

☐ Memo Item

RIVERA, ERNIE J

Election:

☒ Primary
☐ General
☐ Other (specify) ▼

Mailing Address

City

State

ZIP Code

Original Amount of Loan

4,000.00

Cumulative Payment To Date

Balance Outstanding at Close of This Period

4,000.00

TERMS

Date Incurred

Date Due

Interest Rate

Secured:

MM / DD / YYYY
04 / 19 / 2016

MM / DD / YYYY
NONE

MM / DD / YYYY
NONE

MM / DD / YYYY
NONE

MM / DD / YYYY
NONE

MM / DD / YYYY
NONE

MM / DD / YYYY
NONE

MM / DD / YYYY
NONE

MM / DD / YYYY
NONE

MM / DD / YYYY
NONE

MM / DD / YYYY
NONE

% (apr)

☐ Yes

☐ No

List All Endorsers or Guarantors (if any) to Loan Source

1. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

2. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

3. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

4. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

SUBTOTALS This Period This Page (optional).....

4,000.00

TOTALS This Period (last page in this line only).....

Carry outstanding balance only to LINE 3, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.

SCHEDULE C (FEC Form 3)
LOANS

Use separate schedule(s)
for each category of the
Detailed Summary Page

PAGE 3 OF 9

FOR LINE NUMBER:
(check only one)

☒ 13a
☐ 13b

NAME OF COMMITTEE (In Full)

LOAN SOURCE Full Name (Last, First, Middle Initial)

RIVERA, ERNIE J

☐ Memo Item

Election:

☒ Primary
☐ General
☐ Other (specify) ▼

Mailing Address

City

State

ZIP Code

Original Amount of Loan

3 0 0 0 0 0

Cumulative Payment To Date

Balance Outstanding at Close of This Period

3 0 0 0 0 0

TERMS

Date Incurred

Date Due

Interest Rate

Secured:

04

29

2016

NONE

% (apr)

☐ Yes

☒ No

List All Endorsers or Guarantors (if any) to Loan Source

1. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

2. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

3. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

4. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

SUBTOTALS This Period This Page (optional).....

3 0 0 0 0 0

TOTALS This Period (last page in this line only).....

Carry outstanding balance only to LINE 3, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.

SCHEDULE C (FEC Form 3)
LOANS

Use separate schedule(s)
for each category of the
Detailed Summary Page

PAGE 4 OF 9

FOR LINE NUMBER:
(check only one)

☒ 13a
☐ 13b

NAME OF COMMITTEE (In Full)

LOAN SOURCE Full Name (Last, First, Middle Initial)

RIVERA, ERNIE J

☐ Memo Item

Election:

☒ Primary

☐ General

☐ Other (specify) ▼

Mailing Address

City

State

ZIP Code

Original Amount of Loan

2 5 0 0 0 0

Cumulative Payment To Date

Balance Outstanding at Close of This Period

2 5 0 0 0 0

TERMS

Date Incurred

Date Due

Interest Rate

Secured:

05

03

2016

M M

D D

NONE

% (apr)

☐ Yes

☒ No

List All Endorsers or Guarantors (if any) to Loan Source

1. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

2. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

3. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

4. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

SUBTOTALS This Period This Page (optional).....

2 5 0 0 0 0

TOTALS This Period (last page in this line only).....

Carry outstanding balance only to LINE 3, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.

**SCHEDULE C (FEC Form 3)
LOANS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

PAGE 5 OF 9

FOR LINE NUMBER:
(check only one)

☒ 13a
☐ 13b

NAME OF COMMITTEE (In Full)

ERNIE RIVERA FOR FLORIDA

LOAN SOURCE Full Name (Last, First, Middle Initial)

☐ Memo Item

RIVERA, ERNIE J

Election:

☒ Primary
☐ General
☐ Other (specify) ▼

Mailing Address

City

State

ZIP Code

Original Amount of Loan

1 0 0 0 0 0

Cumulative Payment To Date

Balance Outstanding at Close of This Period

1 0 0 0 0 0

TERMS

Date Incurred

Date Due

Interest Rate

Secured:

05^M

18^D

2016^Y

MM

DD

NONE^Y

% (apr)

☐ Yes

☒ No

List All Endorsers or Guarantors (if any) to Loan Source

1. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

2. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

3. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

4. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

SUBTOTALS This Period This Page (optional)..... ▶

1 0 0 0 0 0

TOTALS This Period (last page in this line only)..... ▶

Carry outstanding balance only to LINE 3, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.

SCHEDULE C (FEC Form 3)
LOANS

Use separate schedule(s)
for each category of the
Detailed Summary Page

PAGE 6 OF 9

FOR LINE NUMBER:
(check only one)

☒ 13a
☐ 13b

NAME OF COMMITTEE (In Full)

ERNIE RIVERA FOR FLORIDA

LOAN SOURCE Full Name (Last, First, Middle Initial)

☐ Memo Item

Election:

☒ Primary

☐ General

☐ Other (specify) ▼

RIVERA, ERNIE J

Mailing Address

City

State

ZIP Code

Original Amount of Loan

6,000.00

Cumulative Payment To Date

Balance Outstanding at Close of This Period

6,000.00

TERMS

Date Incurred

Date Due

Interest Rate

Secured:

MM / DD / YYYY
06 / 02 / 2016

MM / DD / YYYY
06 / 02 / 2016

MM / DD / YYYY
06 / 02 / 2016

MM / DD / YYYY
06 / 02 / 2016

MM / DD / YYYY
06 / 02 / 2016

MM / DD / YYYY
06 / 02 / 2016

MM / DD / YYYY
06 / 02 / 2016

MM / DD / YYYY
06 / 02 / 2016

MM / DD / YYYY
06 / 02 / 2016

MM / DD / YYYY
06 / 02 / 2016

MM / DD / YYYY
06 / 02 / 2016

% (apr)

☐ Yes

☒ No

List All Endorsers or Guarantors (if any) to Loan Source

1. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

2. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

3. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

4. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

SUBTOTALS This Period This Page (optional)..... ►

6,000.00

TOTALS This Period (last page in this line only)..... ►

Carry outstanding balance only to LINE 3, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.

SCHEDULE C (FEC Form 3)
LOANS

Use separate schedule(s)
for each category of the
Detailed Summary Page

PAGE 7 OF 9

FOR LINE NUMBER:
(check only one)

☒ 13a
☐ 13b

NAME OF COMMITTEE (In Full)

ERNIE RIVERA FOR FLORIDA

LOAN SOURCE Full Name (Last, First, Middle Initial)

RIVERA, ERNIE J

☐ Memo Item

Election:

☒ Primary
☐ General
☐ Other (specify) ▼

Mailing Address

City

State

ZIP Code

Original Amount of Loan

1 0 0 0 0 0

Cumulative Payment To Date

Balance Outstanding at Close of This Period

1 0 0 0 0 0

TERMS

Date Incurred

Date Due

Interest Rate

Secured:

06^M

20

2016^{Y Y}

M M

D D

NONE^{Y Y Y Y Y Y}

% (apr)

☐ Yes

☒ No

List All Endorsers or Guarantors (if any) to Loan Source

1. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

2. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

3. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

4. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

SUBTOTALS This Period This Page (optional)..... ►

1 0 0 0 0 0

TOTALS This Period (last page in this line only) ►

Carry outstanding balance only to LINE 3, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.

SCHEDULE C (FEC Form 3)
LOANS

Use separate schedule(s)
for each category of the
Detailed Summary Page

PAGE 8 OF 9

FOR LINE NUMBER:
(check only one)

☒ 13a
☐ 13b

NAME OF COMMITTEE (In Full)

ERNIE RIVERA FOR FLORIDA

LOAN SOURCE Full Name (Last, First, Middle Initial)

☐ Memo Item

Election:

☒ Primary
☐ General
☐ Other (specify) ▼

RIVERA, ERNIE J

Mailing Address

City

State

ZIP Code

Original Amount of Loan

11 000 00

Cumulative Payment To Date

Balance Outstanding at Close of This Period

11 000 00

TERMS

Date Incurred

Date Due

Interest Rate

Secured:

M M / D D / Y Y Y Y Y Y
06 / 23 / 2016

M M / D D / Y Y Y Y Y Y
NONE

% (apr)

☐ Yes ☒ No

List All Endorsers or Guarantors (if any) to Loan Source

1. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

2. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

3. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

4. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

SUBTOTALS This Period This Page (optional).....

▶

11 000 00

TOTALS This Period (last page in this line only).....

▶

Carry outstanding balance only to LINE 3, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.

SCHEDULE C (FEC Form 3)
LOANS

Use separate schedule(s)
for each category of the
Detailed Summary Page

PAGE 9 OF 9

FOR LINE NUMBER:
(check only one)

☒ 13a
☐ 13b

NAME OF COMMITTEE (In Full)

ERNIE RIVERA FOR FLORIDA

LOAN SOURCE Full Name (Last, First, Middle Initial)

RIVERA , ERNIE J

☐ Memo Item

Election:

☒ Primary
☐ General
☐ Other (specify) ▼

Mailing Address

City

State

ZIP Code

Original Amount of Loan

250 000 00

Cumulative Payment To Date

Balance Outstanding at Close of This Period

250 000 00

TERMS

Date Incurred

Date Due

Interest Rate

Secured:

MM / DD / YYYY
06 / 30 / 2016

MM / DD / YYYY
06 / 30 / 2016

MM / DD / YYYY
06 / 30 / 2016

MM / DD / YYYY
06 / 30 / 2016

MM / DD / YYYY
06 / 30 / 2016

MM / DD / YYYY
06 / 30 / 2016

MM / DD / YYYY
06 / 30 / 2016

% (apr)

☐ Yes

☒ No

List All Endorsers or Guarantors (if any) to Loan Source

1. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

2. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

3. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

4. Full Name (Last, First, Middle Initial)

Name of Employer

Mailing Address

Occupation

City

State

ZIP Code

Amount
Guaranteed
Outstanding:

SUBTOTALS This Period This Page (optional)..... ▶

250 000 00

TOTALS This Period (last page in this line only)..... ▶

280 000 00

Carry outstanding balance only to LINE 3, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.

1-800-424-9540

FEC FORM 3Z (File with Form 3)

CONSOLIDATION REPORT OF RECEIPTS AND DISBURSEMENTS

(To Be Used By A Principal Campaign Committee)

Name of Principal Campaign Committee (In Full)		Report Covering Period:			
ERNIE RIVERA FOR FLORIDA		From:		To:	
		04 / 01 / 2016		06 / 30 / 2016	
Committee Name				(a) Line No. 11(a) Total Contributions From Indiv./Persons Other Than Political Committees	(b) Line No. 11(b) Total Contributions From Political Party Committees
A				280350.00	
B Column Total Last Page Only.....				292981.79	
(c) Line No. 11(c) Total Contributions From Other Political Committees	(d) Line No. 11(d) Total Contributions From The Candidate	(e) Line No. 11(e) Total Contributions	(f) Line No. 12 Total Transfers From Other Authorized Committees	(g) Line No. 13(a) Total Loans Made or Guaranteed by the Candidate	(h) Line No. 13(b) Total All Other Loans
A		350.00		280000.00	
B		650.00		292331.79	
(i) Line No. 13(c) Total Loans	(j) Line No. 14 Total Offsets to Operating Expenditures	(k) Line No. 15 Total Other Receipts	(l) Line No. 16 Total Receipts	(m) Line No. 17 Total Operating Expenditures	(n) Line No. 18 Total Transfers to Other Authorized Committees
A	280000.00		280350.00	28928.29	
B	292331.79		292981.79	41262.19	
(o) Line No. 19(a) Total Loan Repayments of Loans Made or Guaranteed by The Can- didate	(p) Line No. 19(b) Total Loan Repayments of All Other Loans	(q) Line No. 19(c) Total Loan Repayments	(r) Line No. 20(a) Total Contribution Refunds to Individuals/Persons	(s) Line No. 20(b) Total Contribution Refunds to Political Party Committees	(t) Line No. 20(c) Total Contribution Refunds to Other Political Committees
A					
B					
(u) Line No. 20(d) Total Contribution Refunds	(v) Line No. 21 Total Other Disbursements	(w) Line No. 22 Total Disbursements	(x) Line No. 23 Cash on Hand Beginning of Reporting Period	(y) Line No. 27 Cash on Hand Close of Reporting Period	(z) Line No. 9 Debts & Obligations Owed TO the Committee
A		28928.29	297.89	251719.60	
B		41262.19			
(aa) Line No. 10 Debts & Obligations Owed BY the Committee	(bb) Line No. 6(c) Net Contributions	(cc) Line No. 7(c) Net Operating Expenditures			
A					
B					

FEC
FORM 3

REPORT OF RECEIPTS
AND DISBURSEMENTS
For An Authorized Committee

COPY

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If over the line

ERNIE RIVERA FOR FLORIDA

ADDRESS (number and street)

PO BOX 9

Check if different than previously reported. (ACC)

LAND O' LAKES

CITY ▲

2. FEC IDENTIFICATION NUMBER ▼

C 00607564

3. IS THIS REPORT



4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

- ☐ April 15 Quarterly Report (Q1)
☐ July 15 Quarterly Report (Q2)
☐ October 15 Quarterly Report (Q3)
☐ January 31 Year-End Report (YE)

☐ Termination Report (TER)

(b) 12-Day PRE-Election Report for the:

- ☒ Primary (12P) ☐ General (12G) ☐ Runoff (12R)
☐ Convention (12C) ☐ Special (12S)

Election on 08 / 30 / 2016 in the State of FL

(c) 30-Day POST-Election Report for the:

- ☐ General (30G) ☐ Runoff (30R) ☐ Special (30S)

Election on / / in the State of

5. Covering Period

07 / 01 / 2016

through

08 / 10 / 2016

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer ALAN K. GEER, CPA

Signature of Treasurer

Alan K. Geer, CPA

Date

08 / 15 / 2016

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 52 U.S.C. §30109.

Office
Use
Only

FEC FORM 3
(Revised 02/2003)

FEGAN023

U.S. Postal Service™
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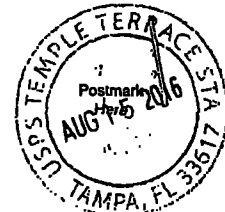
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Secretary of the Senate
232 Hart Senate Ofc Bldg
Washington, DC 20510-7116

PS Form 3800, April 2015 PSN 7530-02-000-9047

See Reverse for Instructions



SUMMARY PAGE

of Receipts and Disbursements

Write or Type Committee Name

Report Covering the Period:

From:

 07^M / 01^D / 2016^Y

To:

 08^M / 10^D / 2016^Y

	COLUMN A This Period	COLUMN B Election Cycle-to-Date
6. Net Contributions (other than loans)		
(a) Total Contributions (other than loans) (from Line 11(e))	2,273.14	2,923.14
(b) Total Contribution Refunds (from Line 20(d))		
(c) Net Contributions (other than loans) (subtract Line 6(b) from Line 6(a))	2,273.14	2,923.14
7. Net Operating Expenditures		
(a) Total Operating Expenditures (from Line 17)	29,637.48	70,899.67
(b) Total Offsets to Operating Expenditures (from Line 14)		
(c) Net Operating Expenditures (subtract Line 7(b) from Line 7(a))	29,637.48	70,899.67
8. Cash on Hand at Close of Reporting Period (from Line 27)	14,355.26	
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)		
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)		

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE of Receipts

FEC Form 3 (Revised 12/2003)

Page 3

Write or Type Committee Name

Report Covering the Period:

From:

07 / 01 / 2016

To:

08 / 10 / 2016

I. RECEIPTS

COLUMN A
Total This Period

COLUMN B
Election Cycle-to-Date

11. CONTRIBUTIONS (other than loans) FROM:

(a) Individuals/Persons Other Than
Political Committees

(i) Itemized (use Schedule A).....

2,273,14

2,923,14

(ii) Unitemized.....

(iii) TOTAL of contributions
from individuals.....

(b) Political Party Committees.....

(c) Other Political Committees
(such as PACs).....

(d) The Candidate.....

(e) TOTAL CONTRIBUTIONS

(other than loans)

(add Lines 11(a)(ii), (b), (c), and (d))..

2,273,14

2,923,14

12. TRANSFERS FROM OTHER AUTHORIZED COMMITTEES.....

13. LOANS:

(a) Made or Guaranteed by the
Candidate.....

(b) All Other Loans.....

(c) TOTAL LOANS

(add Lines 13(a) and (b)).....

14. OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.).....

15. OTHER RECEIPTS

(Dividends, Interest, etc.).....

16. TOTAL RECEIPTS (add Lines

11(e), 12, 13(c), 14, and 15)

(Carry Total to Line 24, page 4).....

2,273,14

2,923,14

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3 (Revised 02/2003)

Page 4

II. DISBURSEMENTS

COLUMN A
Total This Period

COLUMN B
Election Cycle-to-Date

17. OPERATING EXPENDITURES.....

2,963,748

70,899,67

18. TRANSFERS TO OTHER
AUTHORIZED COMMITTEES

19. LOAN REPAYMENTS:

(a) Of Loans Made or Guaranteed
by the Candidate.....

210,000,000

210,000,000

(b) Of All Other Loans

(c) TOTAL LOAN REPAYMENTS
(add Lines 19(a) and (b)).....

20. REFUNDS OF CONTRIBUTIONS TO:

(a) Individuals/Persons Other
Than Political Committees

(b) Political Party Committees

(c) Other Political Committees
(such as PACs)

(d) TOTAL CONTRIBUTION REFUNDS
(add Lines 20(a), (b), and (c)).....

21. OTHER DISBURSEMENTS

22. TOTAL DISBURSEMENTS
(add Lines 17, 18, 19(c), 20(d), and 21) ►

2,396,374,8

280,899,67

III. CASH SUMMARY

23. CASH ON HAND AT BEGINNING OF REPORTING PERIOD

25,171,960

24. TOTAL RECEIPTS THIS PERIOD (from Line 16, page 3)

22,731,4

25. SUBTOTAL (add Line 23 and Line 24)

253,992,74

26. TOTAL DISBURSEMENTS THIS PERIOD (from Line 22)

239,637,48

27. CASH ON HAND AT CLOSE OF REPORTING PERIOD
(subtract Line 26 from Line 25)

14,355,26

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:		PAGE 1 OF 2	
(check only one)			
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 11d
<input type="checkbox"/> 12	<input type="checkbox"/> 13a	<input type="checkbox"/> 13b	<input type="checkbox"/> 14
<input type="checkbox"/> 15			

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

ERNIE RIVERA FOR FLORIDA

Full Name (Last, First, Middle Initial)

AJO, HENRY

A.

Mailing Address

3113 GIANNA WAY

City

LAND O'LAKES

State

FL

Zip Code

34638

FEC ID number of contributing
federal political committee.

C 00607564

Name of Employer

Occupation

PASTOR

Receipt For:

☒ Primary ☐ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

1 0 0 0 0 0

Date of Receipt

07 / 07 / 2016

Amount of Each Receipt this Period

1 0 0 0 . 00

☐ Memo Item

Full Name (Last, First, Middle Initial)

IGLESIAS DIOS PENTECOSTAL

B.

Mailing Address

5800 N. CHURCH AVE

City

TAMPA

State

FL

Zip Code

33614

FEC ID number of contributing
federal political committee.

C 00607564

Name of Employer

Occupation

CHURCH

Receipt For:

☒ Primary ☐ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

5 0 0 0 0 0

Date of Receipt

07 / 25 / 2016

Amount of Each Receipt this Period

5 0 0 0 . 00

☐ Memo Item

Full Name (Last, First, Middle Initial)

LA TERESITA, INC

C.

Mailing Address

3318 WOODLAWN AVE

City

TAMPA

State

FL

Zip Code

33607

FEC ID number of contributing
federal political committee.

C 00607564

Name of Employer

Occupation

RESTAURANT

Receipt For:

☒ Primary ☐ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

5 0 0 0 0 0

Date of Receipt

07 / 07 / 2016

Amount of Each Receipt this Period

5 0 0 0 . 00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional).....▶

2 0 0 0 0 0

TOTAL This Period (last page this line number only).....▶

120021742

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 2 OF 2

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 11d
☐ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15

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NAME OF COMMITTEE (In Full)

ERNIE RIVERA FOR FLORIDA

Full Name (Last, First, Middle Initial)

MISC CONTRIBUTIONS

A.

Mailing Address

City State Zip Code

FEC ID number of contributing
federal political committee.

C 00607564

Name of Employer

Occupation

Receipt For:

☒ Primary ☐ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

2 7 3 1 4

Date of Receipt

07 / 28 / 2016

Amount of Each Receipt this Period

2 7 3 1 4

☐ Memo Item

B.

Mailing Address

City State Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

Date of Receipt

Amount of Each Receipt this Period

☐ Memo Item

C.

Mailing Address

City State Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

Date of Receipt

Amount of Each Receipt this Period

☐ Memo Item

SUBTOTAL of Receipts This Page (optional)

2 7 3 1 4

TOTAL This Period (last page this line number only)

2 2 7 3 1 4

1708212743

SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: **PAGE 1 OF 6**
(check only one)
☒ 17 ☐ 18 ☐ 19a ☐ 19b
☐ 20a ☐ 20b ☐ 20c ☐ 21

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NAME OF COMMITTEE (In Full)

ERNIE RIVERA FOR FLORIDA

Full Name (Last, First, Middle Initial)

A. GRANADO, LEON

Mailing Address

4201 WOODSTORK WALK AWAY

City

LUTZ

State

FL

Zip Code

33558

Purpose of Disbursement

SALARIES

Candidate Name

ERNIE RIVERA

001

Category/
Type

Office Sought:

☐ House

☒ Senate

☐ President

Disbursement For:

☒ Primary

☐ General

☐ Other (specify) ▼

State: **FL**

District:

Date of Disbursement

07 / **15** / **2016**

Amount of Each Disbursement this Period

2,500.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

B. DIAZ, CARLOS

Mailing Address

ALTURES DEL PARQUE ESCORIAL 3007

City

CAROLINA PR 00987

State

Zip Code

Purpose of Disbursement

SALARIES

Candidate Name

ERNIE RIVERA

007

Category/
Type

Office Sought:

☐ House

☒ Senate

☐ President

Disbursement For:

☒ Primary

☐ General

☐ Other (specify) ▼

State: **FL**

District:

Date of Disbursement

07 / **18** / **2016**

Amount of Each Disbursement this Period

1,250.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

C. TORRES, JULIO

Mailing Address

07

City

State

Zip Code

Purpose of Disbursement

SALARIES

Candidate Name

ERNIE RIVERA

001

Category/
Type

Office Sought:

☐ House

☒ Senate

☐ President

Disbursement For:

☒ Primary

☐ General

☐ Other (specify) ▼

State: **FL**

District:

Date of Disbursement

07 / **25** / **2016**

Amount of Each Disbursement this Period

6,000.00

☐ Memo Item

SUBTOTAL of Disbursements This Page (optional)

2,100.00

TOTAL This Period (last page this line number only)

1700212744

SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 2 OF 6

☒ 17 18 19a 19b
20a 20b 20c 21

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NAME OF COMMITTEE (In Full)

ERNIE RIVERA FOR FLORIDA

Full Name (Last, First, Middle Initial)

A. CAVAZOS, MOSES

Mailing Address
105 BENT CREEK CT.

City WAXAHACHIE State TX Zip Code 75166

Purpose of Disbursement

SALARIES

Candidate Name
ERNIE RIVERA

001

Category/
Type

Office Sought: ☐ House
☒ Senate
☐ President

Disbursement For: ☒ Primary ☐ General
☐ Other (specify) ▼

State: FL District:

Full Name (Last, First, Middle Initial)

Date of Disbursement

07 / 30 / 2016

Amount of Each Disbursement this Period

1,500.00

☐ Memo Item

B. CAVAZOS, MOSES

Mailing Address

City State Zip Code

Purpose of Disbursement

SALARIES

Candidate Name
ERNIE RIVERA

001

Category/
Type

Office Sought: ☐ House
☒ Senate
☐ President

Disbursement For: ☒ Primary ☐ General
☐ Other (specify) ▼

State: FL District:

Full Name (Last, First, Middle Initial)

Date of Disbursement

08 / 10 / 2016

Amount of Each Disbursement this Period

1,700.00

☐ Memo Item

C. TRUMEL, RUTH

Mailing Address
9241 PEBBLE CREEK DR

City TAMPA State FL Zip Code 33647

Purpose of Disbursement

SALARIES

Candidate Name
ERNIE RIVERA

001

Category/
Type

Office Sought: ☐ House
☒ Senate
☐ President

Disbursement For: ☒ Primary ☐ General
☐ Other (specify) ▼

State: District:

Date of Disbursement

07 / 30 / 2016

Amount of Each Disbursement this Period

800.00

☐ Memo Item

SUBTOTAL of Disbursements This Page (optional).....▶

4,000.00

TOTAL This Period (last page this line number only).....▶

160024745

**SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 3 OF 6

☒ 17 ☐ 18 ☐ 19a ☐ 19b
☐ 20a ☐ 20b ☐ 20c ☐ 21

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NAME OF COMMITTEE (In Full)

ERNIE RIVERA FOR FLORIDA

Full Name (Last, First, Middle Initial)

A. TAYLOR, LAURE

Mailing Address

2502 LAND O'LAKES BLVD

City

LAND O'LAKES

State

FL

Zip Code

34639

Purpose of Disbursement

RENT

0 0 1

Candidate Name

ERNIE RIVERA

Category/
Type

Office Sought:

☐ House

☒ Senate

☐ President

Disbursement For:

☒ Primary

☐ General

☐ Other (specify) ▼

State: FL

District:

Date of Disbursement

07 / 01 / 2016

Amount of Each Disbursement this Period

1 070.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

B. TAYLOR, LAURE

Mailing Address

City

State

Zip Code

Purpose of Disbursement

INTERNET

0 0 1

Candidate Name

ERNIE RIVERA

Category/
Type

Office Sought:

☐ House

☒ Senate

☐ President

Disbursement For:

☒ Primary

☐ General

☐ Other (specify) ▼

State: FL

District:

Date of Disbursement

07 / 30 / 2016

Amount of Each Disbursement this Period

2 59.98

☐ Memo Item

Full Name (Last, First, Middle Initial)

C. FRONTIER

Mailing Address

City

State

Zip Code

Purpose of Disbursement

INTERNET

0 0 1

Candidate Name

ERNIE RIVERA

Category/
Type

Office Sought:

☐ House

☒ Senate

☐ President

Disbursement For:

☒ Primary

☐ General

☐ Other (specify) ▼

State: FL

District:

Date of Disbursement

07 / 01 / 2016

Amount of Each Disbursement this Period

2 59.98

☐ Memo Item

SUBTOTAL of Disbursements This Page (optional).....▶

1 589.96

TOTAL This Period (last page this line number only).....▶

**SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 4 OF 6

☒ 17 ☐ 18 ☐ 19a ☐ 19b
☐ 20a ☐ 20b ☐ 20c ☐ 21

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NAME OF COMMITTEE (In Full)

ERNIE RIVERA FOR FLORIDA

Full Name (Last, First, Middle Initial)

A. THE PR GROUP

Mailing Address
1130 COMMODORE ST

City **CLEARWATER** State **FL** Zip Code **33755**

Purpose of Disbursement
ADVERTISING

Candidate Name
ERNIE RIVERA

004

Category/
Type

Office Sought: ☐ House ☒ Senate ☐ President

Disbursement For: ☒ Primary ☐ General
☐ Other (specify) ▼

State: **FL** District:

Date of Disbursement

07 / **01** / **2016**

Amount of Each Disbursement this Period

900 00

☐ Memo Item

B. SELIG MULTIMEDIA

Mailing Address
3903 NORTHDAL BLVD

City **TAMPA** State **FL** Zip Code **33624**

Purpose of Disbursement
ADVERTISING

Candidate Name
ERNIE RIVERA

004

Category/
Type

Office Sought: ☐ House ☒ Senate ☐ President

Disbursement For: ☒ Primary ☐ General
☐ Other (specify) ▼

State: **FL** District:

Date of Disbursement

07 / **12** / **2016**

Amount of Each Disbursement this Period

150 00 00

☐ Memo Item

C. BERROJO, ALAN

Mailing Address

City State Zip Code

Purpose of Disbursement
ADVERTISEMENT

Candidate Name
ERNIE RIVERA

004

Category/
Type

Office Sought: ☐ House ☒ Senate ☐ President

Disbursement For: ☒ Primary ☐ General
☐ Other (specify) ▼

State: **FL** District:

Date of Disbursement

08 / **01** / **2016**

Amount of Each Disbursement this Period

3000 00

☐ Memo Item

SUBTOTAL of Disbursements This Page (optional).....▶

18900 00

TOTAL This Period (last page this line number only).....▶

SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 5 OF 6

☐ 17 ☐ 18 ☐ 19a ☐ 19b
☐ 20a ☐ 20b ☐ 20c ☐ 21

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NAME OF COMMITTEE (In Full)

ERNIE RIVERA FOR FLORIDA

Full Name (Last, First, Middle Initial)

A. BERROJO, ALAN

Mailing Address

City State Zip Code

Purpose of Disbursement
ADVERTISEMENT

Candidate Name
ERNIE RIVERA

004

Category/
Type

Office Sought: ☐ House
☒ Senate
☐ President

Disbursement For: ☒ Primary ☐ General
☐ Other (specify) ▼

State: **FL** District:

Date of Disbursement

08 / 20 / 2016

Amount of Each Disbursement this Period

2800.00

☐ Memo Item

B. LA TERESITA

Mailing Address
3248 W. COLUMBUS DR

City State Zip Code
TAMPA FL 33602

Purpose of Disbursement
CAMPAIGN EVENT

Candidate Name

007

Category/
Type

Office Sought: ☐ House
☒ Senate
☐ President

Disbursement For: ☒ Primary ☐ General
☐ Other (specify) ▼

State: **FL** District:

Date of Disbursement

07 / 15 / 2016

Amount of Each Disbursement this Period

1475.2

☐ Memo Item

C. LUIS MARTINEZ

Mailing Address
LUIGIS PHOTO STUDIO

City State Zip Code

Purpose of Disbursement
ADVERTISEMENT

Candidate Name

004

Category/
Type

Office Sought: ☐ House
☒ Senate
☐ President

Disbursement For: ☒ Primary ☐ General
☐ Other (specify) ▼

State: **FL** District:

Date of Disbursement

/ /

Amount of Each Disbursement this Period

100.00

☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶

3047.52

TOTAL This Period (last page this line number only) ▶

17002712748

**SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 6 OF

☐ 17 ☐ 18 ☒ 19a ☐ 19b
☐ 20a ☐ 20b ☐ 20c ☐ 21

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NAME OF COMMITTEE (In Full)

ERNIE RIVERA FOR FLORIDA

Full Name (Last, First, Middle Initial)

A. RIVERA, ERNIE

Mailing Address
PO BOX 9

City LAND O'LAKES State FL Zip Code 34693

Purpose of Disbursement
LOAN REPAYMENT

Candidate Name
ERNIE RIVERA

009
Category/
Type

Office Sought: ☐ House ☒ Senate ☐ President
Disbursement For: ☒ Primary ☐ General ☐ Other (specify) ▼

State: FL District:

Date of Disbursement

07 / 07 / 2016

Amount of Each Disbursement this Period

1,000.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

B. RIVERA, ERNIE

Mailing Address
PO BOX 9

City LAND O'LAKES State FL Zip Code 34693

Purpose of Disbursement
LOAN REPAYMENT

Candidate Name

009
Category/
Type

Office Sought: ☐ House ☒ Senate ☐ President
Disbursement For: ☒ Primary ☐ General ☐ Other (specify) ▼

State: FL District:

Date of Disbursement

08 / 01 / 2016

Amount of Each Disbursement this Period

200.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City State Zip Code

Purpose of Disbursement

Candidate Name

Category/
Type

Office Sought: ☐ House ☐ Senate ☐ President
Disbursement For: ☐ Primary ☐ General ☐ Other (specify) ▼

State: District:

Date of Disbursement

MM / DD / YYYY

Amount of Each Disbursement this Period

☐ Memo Item

SUBTOTAL of Disbursements This Page (optional).....▶

TOTAL This Period (last page this line number only).....▶

210,000.00
239,637.48

100212749

FEC FORM 3Z (File with Form 3)

CONSOLIDATION REPORT OF RECEIPTS AND DISBURSEMENTS

(To Be Used By A Principal Campaign Committee)

Name of Principal Campaign Committee (In Full)		Report Covering Period:				
		From:		To:		
		07 / 01 / 2016		08 / 10 / 2016		
Committee Name				(a) Line No. 11(a) Total Contributions From Indiv./Persons Other Than Political Committees	(b) Line No. 11(b) Total Contributions From Political Party Committees	
A					2,273.14	
B	Column Total Last Page Only.....				2,923.14	
	(c) Line No. 11(c) Total Contributions From Other Political Committees	(d) Line No. 11(d) Total Contributions From The Candidate	(e) Line No. 11(e) Total Contributions	(f) Line No. 12 Total Transfers From Other Authorized Committees	(g) Line No. 13(a) Total Loans Made or Guaranteed by the Candidate	(h) Line No. 13(b) Total All Other Loans
A			2,273.14			
B			2,923.14			
	(i) Line No. 13(c) Total Loans	(j) Line No. 14 Total Offsets to Operating Expenditures	(k) Line No. 15 Total Other Receipts	(l) Line No. 16 Total Receipts	(m) Line No. 17 Total Operating Expenditures	(n) Line No. 18 Total Transfers to Other Authorized Committees
A				2,273.14	29,637.48	
B				2,923.14	70,899.67	
	(o) Line No. 19(a) Total Loan Repayments of Loans Made or Guaranteed by The Can- didate	(p) Line No. 19(b) Total Loan Repayments of All Other Loans	(q) Line No. 19(c) Total Loan Repayments	(r) Line No. 20(a) Total Contribution Refunds to Individuals/Persons	(s) Line No. 20(b) Total Contribution Refunds to Political Party Committees	(t) Line No. 20(c) Total Contribution Refunds to Other Political Committees
A	210,000.00					
B	210,000.00					
	(u) Line No. 20(d) Total Contribution Refunds	(v) Line No. 21 Total Other Disbursements	(w) Line No. 22 Total Disbursements	(x) Line No. 23 Cash on Hand Beginning of Reporting Period	(y) Line No. 27 Cash on Hand Close of Reporting Period	(z) Line No. 9 Debts & Obligations Owed TO the Committee
A			239,637.48	251,719.60	14,355.26	
B			280,899.67			
	(aa) Line No. 10 Debts & Obligations Owed BY the Committee	(bb) Line No. 6(c) Net Contributions	(cc) Line No. 7(c) Net Operating Expenditures			
A						
B						

CERTIFICATION OF ACCOUNT CLOSURE

I hereby certify that I closed the account: Ernie Rivera for Florida, Account number [REDACTED], held in Sun Trust Bank, at the Land O Lakes Branch SR 41, Land O Lakes, Florida.

The account was closed effective: the 23 of September of 2016.



Lisa Francis

Bank Manager

17002121251

SUNTRUST

Close of Campaign Account / SunTrust Bank
Sept. 22-2016

Closing Withdrawal Ticket
Non-Negotiable
Payable to Named Depositor

Date 9/22/16 Prepared By UG 1125
Account Name (print) Carroll, Susan
Address _____ City, State, Zip _____

Disposition Of Funds _____ Identification # _____
Amount Ten thousand nine hundred 99/100 Dollars
Signed [Signature]

All items are accepted subject to your SunTrust Bank's Regulations.

Account No. [Redacted]

Amount

\$ 3557.09



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

December 15, 2016

REVIEWING OFFICER RECOMMENDATION OFFICE OF ADMINISTRATIVE REVIEW ("OAR")

AF# 3125 - Ernie Rivera for Florida and Alan K. Geer, in his official capacity as Treasurer (C00607564)

Summary of Recommendation

Make a final determination that the respondents violated 52 U.S.C. § 30104(a) and assess a \$9,694 civil money penalty.

Reason-to-Believe Background

The 2016 July Quarterly Report was due on July 15, 2016. The respondents filed the report on August 12, 2016, 28 days late. The report is not election sensitive and was filed within 30 days of the due date; therefore, the report is considered late. 11 C.F.R. §§ 111.43(d)(1) and (e)(1).

On September 15, 2016, the Commission found reason to believe ("RTB") that the respondents violated 52 U.S.C. § 30104(a) for failing to timely file the 2016 July Quarterly Report and made a preliminary determination that the civil money penalty was \$9,694 based on the schedule of penalties at 11 C.F.R. § 111.43. A letter was mailed to the respondents' address of record from the Reports Analysis Division ("RAD") on September 16, 2016 to notify them of the Commission's RTB finding and civil money penalty.

Legal Requirements

The Federal Election Campaign Act ("Act") states that the treasurer of a principal campaign committee shall file a report for the quarter ending June 30 no later than July 15. 52 U.S.C. § 30104(a) and 11 C.F.R. § 104.5(a)(1)(i). All reports required to be filed by the principal campaign committee of a candidate for the office of U.S. Senator shall be filed with the Secretary of the Senate. 52 U.S.C. § 30102(g) and 11 C.F.R. § 105.2. Reports sent by first class mail must be received by the close of business on the filing date to be timely filed. If the report is sent by registered or certified mail, by Express or Priority Mail with delivery confirmation or by overnight delivery service with an online tracking system, and scheduled for next business day delivery, it must be postmarked, or deposited with the mailing service, no later than the filing date to be timely filed. 52 U.S.C. § 30104(a)(5), and 11 C.F.R. §§ 100.19 and 104.5(e). The treasurer shall be personally responsible for the timely filing of reports. 11 C.F.R. § 104.14(d).

Summary of Respondents' Challenge

On October 24, 2016, the Commission received the written response ("challenge") from the Treasurer and Candidate. The respondents request that the Commission consider "...the prevailing circumstances preceding and following the report's due date..." and also state that penalty was incorrectly calculated.

The respondents explain that this was the Candidate's first political campaign and stress his unfamiliarity with the process. The challenge also notes the Treasurer volunteered his services. "It was not until after March 2016 when Mr. Rivera contacted for the third or fourth time the FEC office that an employee was surprised that they had not sent him the FEC Senator's Candidate Manual and proceeded to do so." The Candidate announced his candidacy in April 2016 and "...closed down his headquarters and terminated all volunteer or paid services by the end of August 31, 2016. The bank account was closed in September when all checks were cleared."

The challenge indicates a former campaign employee contacted a RAD Analyst on August 4, 2016 for guidance regarding the late 2016 July Quarterly Report. They then "...proceeded immediately to request all documents necessary from the [C]andidate and his staff." The respondents received the necessary information on August 10, 2016 and filed the 2016 July Quarterly Report on August 12, 2016. The respondents stress that once they received the necessary guidance and documentation, filing the report was a top priority.

The respondents also state the penalty was incorrectly calculated at RTB. They further explain that the campaign was almost entirely funded by the personal funds of the candidate, in the form of candidate loans, and state:

During the entire campaign never did the campaign receive up to \$5,000.00 or more in donations from his supporters... In accordance to the FEC's rules stated already, any LOAN by the candidate is NOT to be considered as a DONATION and therefore does not fall into that category...The total expenditures for the Ernie Rivera for Florida Campaign do not surpass over \$83,000.00 in his whole time running. (emphasis in original)

The challenge includes supporting evidence including bank statements and certifications,¹ copies of FEC filings, and copies of U.S.P.S. tracking receipts.

¹ The bank statements and certifications have been redacted for confidentiality.

Analysis

The respondents indicate they were unfamiliar with reporting requirements and unaware the Committee was required to file the 2016 July Quarterly Report. However, as mentioned in the challenge, the Commission's Information Division mailed a Candidate Registration Packet to the Candidate on March 4, 2016.² Among other items, the Candidate received the Commission's *Campaign Guide for Congressional Candidates and Committees* and the *Committee Treasurers* brochure. Further, on June 22, 2016, the Information Division sent an email regarding the filing requirement to erniejamespr@yahoo.com, the email address listed on the Committee's Statement of Organization. Therefore, the respondents should have been aware of the 2016 July Quarterly Report filing requirement.

On July 22, 2016, RAD sent a non-filer notification via email to erniejamespr@yahoo.com, the email address listed on the Committee's Statement of Organization. RAD telecoms (written records of telephone conversations) indicate the Committee first contacted the Commission regarding the report on August 11, 2016. The Committee electronically filed the report on August 12, 2016, 28 days late.

For an authorized committee of a candidate, the level of activity is the total amount of receipts and disbursements for the period covered by the late report. 11 C.F.R. § 111.43(d)(3). Contributions from the candidate and loans from the candidate are included as categories of receipts for authorized committees. 11 C.F.R. § 104.3(a)(3)(ii) and (vii)(B). The Reviewing Officer confirms that the level of activity disclosed in the 2016 July Quarterly Report is \$309,278, as calculated at RTB.

Inexperience of a committee's treasurer or staff and failure to know reporting dates are included at 11 C.F.R. § 111.35(d) as examples of circumstances that will not be considered reasonably unforeseen and beyond the respondents' control. A committee's intent to terminate is also not considered. Their challenge fails to address any of the three valid grounds at 11 C.F.R. § 111.35(b). These are: (i) the RTB finding is based on factual errors; and/or (ii) the improper calculation of the civil money penalty; and/or (iii) they used best efforts to file on time but were prevented from doing so by reasonably unforeseen circumstances that were beyond their control and they filed the report no later than 24 hours after the end of these circumstances. Therefore, the Reviewing Officer recommends that the Commission make a final determination that the respondents violated 52 U.S.C. § 30104(a) and assess a \$9,694 civil money penalty.

² The Commission's Information Division also sends Welcome Packets to newly created committees upon receipt of their original Statement of Organization (FEC Form 1). The Welcome Packets are sent via email to the email address(es) disclosed on the Form 1. At the time the Candidate called the Information Division in March 2016, the Committee had not yet filed a Form 1. The Committee filed its original Form 1 on May 31, 2016.

OAR Recommendations

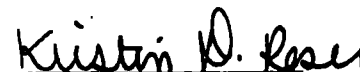
1. Adopt the Reviewing Officer recommendation for AF# 3125 involving Ernie Rivera for Florida and Alan K. Geer, in his official capacity as Treasurer, in making the final determination;
2. Make a final determination in AF# 3125 that Ernie Rivera for Florida and Alan K. Geer, in his official capacity as Treasurer, violated 52 U.S.C. § 30104(a) and assess a \$9,694 civil money penalty; and
3. Send the appropriate letter.

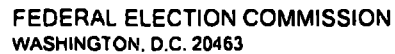
Attachments

- Attachment 1 –
- Attachment 2 –
- Attachment 3 – Declaration from RAD
- Attachment 4 – Declaration from OAR

DECLARATION OF KRISTIN D. ROSER

- 17082712757
1. I am the Chief of the Compliance Branch for the Reports Analysis Division of the Federal Election Commission ("Commission"). In my capacity as Chief of the Compliance Branch, I oversee the initial processing of the Administrative Fine Program. I make this declaration based on my personal knowledge and, if called upon as a witness, could and would testify competently to the following matters.
 2. It is the practice of the Reports Analysis Division to document all calls to or from committees regarding a letter they receive or any questions relating to the FECFile software or administrative fine regulations, including due dates of reports and filing requirements.
 3. I hereby certify that documents identified herein are true and accurate copies of the following sent by the Commission to Ernie Rivera for Florida:
 - A) Non-Filer Letter, dated July 22, 2016, referencing the 2016 July Quarterly Report (sent via electronic mail to: erniejamespr@yahoo.com);
 - B) Reason-to-Believe Letter, dated September 16, 2016, referencing the 2016 July Quarterly Report (sent via overnight mail to the address of record).
 4. I hereby certify that I have searched the Commission's public records and find that Ernie Rivera for Florida filed the 2016 July Quarterly Report with the Commission on August 12, 2016.
 5. Pursuant to 28 U.S.C. 1746, I declare under penalty of perjury that the foregoing is true and correct and that all relevant telecoms for the matter have been provided. This declaration was executed at Washington, D.C. on the 10th day of November, 2016.


Kristin D. Roser
Chief, Compliance Branch
Reports Analysis Division
Federal Election Commission

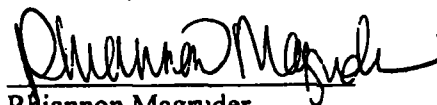


July 22, 2016

In addition, the failure to timely file this report may result in civil money penalties, an audit or other legal enforcement action. The civil money penalty calculation for late reports does not include a grace period and begins on the day following the due date for the report.

DECLARATION OF RHIANNON MAGRUDER

- 1) I am the Reviewing Officer in the Office of Administrative Review for the Federal Election Commission ("Commission"). In my capacity as Reviewing Officer, I conduct research with respect to all challenges submitted in accordance with the Administrative Fine program.
- 2) A principal campaign committee shall file a report for the quarter ending June 30 no later than July 15. All reports required to be filed by the principal campaign committee of a candidate for the office of U.S. Senator shall be filed with the Secretary of the Senate. Reports sent by first class mail must be received by the close of business on the filing date to be timely filed. If the report is sent by registered or certified mail, by Express or Priority Mail with delivery confirmation or by overnight delivery service with an online tracking system, and scheduled for next business day delivery, it must be postmarked, or deposited with the mailing service, no later than July 15, 2016 for the 2016 July Quarterly Report to be timely filed.
- 3) I hereby certify that I have searched the Commission's public records and that the documents identified herein are the true and accurate copies of:
- a) Cover page of the 2016 July Quarterly Report filed by Ernie Rivera for Florida and Alan K. Geer, in his official capacity as Treasurer. The report includes the coverage period of April 1, 2016 through June 30, 2016 and was electronically filed on August 12, 2016.
- 4) Pursuant to 28 U.S.C. § 1746, I declare under penalty of perjury that the foregoing is true and correct and that this declaration was executed at Washington, D.C. on the 15th day of December, 2016.



Rhannon Magruder
Reviewing Officer
Office of Administrative Review
Federal Election Commission

FEC
FORM 3

REPORT OF RECEIPTS
AND DISBURSEMENTS

For An Authorized Committee

RECEIVED
SECRETARY OF THE SENATE
PUBLIC RECORDS

16 AUG 22 AM 10:39

Office Use Only

1. NAME OF
COMMITTEE (In full)

TYPE OR PRINT ▼

Example: If typing, type
over the lines.

12FE4M5

ERNIE RIVERA FOR FLORIDA

ADDRESS (number and street)

P O BOX 9



Check if different
than previously
reported. (ACC)

LAND O' LAKES

FL

34639

CITY ▲

STATE ▲

ZIP CODE ▲

2. FEC IDENTIFICATION NUMBER ▼

C 00607564

3. IS THIS
REPORT



NEW
(N)

OR



AMENDED
(A)

STATE ▼ DISTRICT

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:



April 15 Quarterly Report (Q1)



July 15 Quarterly Report (Q2)



October 15 Quarterly Report (Q3)



January 31 Year-End Report (YE)



Termination Report (TER)

(b) 12-Day PRE-Election Report for the:



Primary (12P)



General (12G)



Runoff (12R)



Convention (12C)



Special (12S)

Election on

MM / DD / YYYY

MM / DD / YYYY

MM / DD / YYYY

In the
State of

MM / DD / YYYY

(c) 30-Day POST-Election Report for the:



General (30G)



Runoff (30R)



Special (30S)

Election on

MM / DD / YYYY

MM / DD / YYYY

MM / DD / YYYY

In the
State of

MM / DD / YYYY

5. Covering Period

04

01

2016

through

06

30

2016

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

ALAN K. GEER, CPA

Signature of Treasurer

Alan K. Geer, CPA

Date

08

12

2016

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 52 U.S.C. §30109.

Office
Use
Only

FEC FORM 3
(Revised 02/2003)

FEGAN022

201608220200361933



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

December 15, 2016

Alan K. Geer, Treasurer
Ernie Rivera for Florida
P.O. Box 9
Land O'Lakes, FL 34639

C00607564
AF#: 3125

Dear Treasurer:

On September 15, 2016, the Federal Election Commission ("Commission") found reason to believe ("RTB") that Ernie Rivera for Florida and you, in your official capacity as Treasurer ("respondents"), violated 52 U.S.C. § 30104(a) for failing to file the 2016 July Quarterly Report. The Commission also made a preliminary determination that the civil money penalty was \$9,694 based on the schedule of penalties at 11 C.F.R. § 111.43.

After reviewing your written response and any supplemental information submitted by you and Commission staff, the Reviewing Officer has recommended that the Commission make a final determination. A copy of the Reviewing Officer's recommendation is attached.

You may file with the Commission Secretary a written response to the recommendation within 10 days of the date of this letter. Your written response should be sent to the Commission Secretary, 999 E Street, NW, Washington, DC 20463 or via facsimile (202-208-3333). Please include the AF # in your response. Your response may not raise any arguments not raised in your original written response or not directly responsive to the Reviewing Officer's recommendation. 11 C.F.R. § 111.36(f). The Commission will then make a final determination in this matter.

Please contact me at the toll free number 800-424-9530 (press 0, then press 1660) or 202-694-1660 if you have any questions.

Sincerely,

A handwritten signature in cursive script that reads "Rhiannon Magruder".

Rhiannon Magruder
Reviewing Officer
Office of Administrative Review

ERNIE RIVERA for FLORIDA

January 28, 2017

To: Federal Election Commission (FEC) Office of Administrative Review
999 E. St. NW.
Washington D.C. 20463
AF# 3125

From: Ernie Rivera and Alan K. Geer
P.O. Box 9,
Land O Lakes, FL 34639

Re: Request for an appeal in regards to the proposed penalty assessment- AF #3125.

Honorable Members of the Committee:

We find shocking that a fine of \$9,694.00 be imposed on a first time citizen who wishing to participate in the electoral process of his country to share the social responsibility of democracy is penalized for not knowing some of the deadline rules of reporting. The "driving force" of this financial reporting was a \$250,000 loan made by Ernie Rivera on June 30. He did this, not to pay expenses but, because of the campaign recommendations of more seasoned politicians who insisted that he deposit as a personal loan to the campaign a minimum of \$250,000.00 to show the party officials that his intentions were sincere and his candidacy be taken seriously. Proof of this was the repayment of most of the funds a few days later back to the candidate after the Republican Party of Florida confirmed their intentions to bring back Senator Marco Rubio and that Ernie for Florida would have no chance in the primaries.

Also, the total campaign contributions were only \$2,977.69 and the total expenses of the campaign were only \$82,353.16.

Neither the candidate, Ernie Rivera, or Alan Geer, the treasurer, had ever been in the election process and were not aware of technical details that could affect them so much. We understand that ignorance of the process does not excuse anyone but at the same time understanding the circumstances surrounding this case should apply also as the "law is one thing and the spirit of the law" may reflect another side totally different.

Once again we respectfully request your understanding of what happened in this case and abate this huge penalty imposed.

Sincerely,

Ernie Rivera, Candidate
Alan Geer, Campaign Treasurer



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RECEIVED
FEB 7 2017
SECRETARY

2017 FEB -7 AM 10:02

February 7, 2017

MEMORANDUM

SENSITIVE

To: The Commission

Through: Alec Palmer *for PCO*
Staff Director

From: Patricia C. Orrock *PCO*
Chief Compliance Officer

Rhiannon Magruder *RM*
Reviewing Officer
Office of Administrative Review

Subject: Final Determination Recommendation in AF# 3125 – Ernie Rivera for Florida
and Alan K. Geer, in his official capacity as Treasurer (C00607564)

On September 15, 2016, the Commission found reason to believe ("RTB") that the respondents violated 52 U.S.C. § 30104(a) for failing to timely file the 2016 July Quarterly Report and made a preliminary determination that the civil money penalty was \$9,694 based on the schedule of penalties at 11 C.F.R. § 111.43.

On October 24, 2016, the Commission received their written response ("challenge"). After reviewing the challenge, the Reviewing Officer Recommendation ("ROR") dated December 15, 2016 was forwarded to the Commission, a copy was forwarded to the respondents, and is hereby incorporated by reference. The Reviewing Officer recommended that the Commission make a final determination that the respondents violated 52 U.S.C. § 30104(a) and assess a \$9,694 civil money penalty.

Within 10 days of transmittal of the recommendation, they may file a written response with the Commission Secretary which may not raise any arguments not raised in their challenge or not directly responsive to the ROR. 11 C.F.R. § 111.36(f). On February 6, 2017, the Commission received their written response, which reiterates the points made in the original challenge and addressed in the ROR.

Their original challenge and response to the ROR fail to address any of the three valid grounds at 11 C.F.R. § 111.35(b). These are: (i) the RTB finding is based on factual errors; and/or (ii) the improper calculation of the civil money penalty; and/or (iii) they used best efforts to file on time but were prevented from doing so by reasonably unforeseen circumstances that

were beyond their control and they filed the report no later than 24 hours after the end of these circumstances. Therefore, the Reviewing Officer recommends that the Commission make a final determination that the respondents violated 52 U.S.C. § 30104(a) and assess a \$9,694 civil money penalty.

OAR Recommendations

1. Adopt the Reviewing Officer recommendation for AF# 3125 involving Ernie Rivera for Florida and Alan K. Geer, in his official capacity as Treasurer, in making the final determination;
2. Make a final determination in AF# 3125 that Ernie Rivera for Florida and Alan K. Geer, in his official capacity as Treasurer, violated 52 U.S.C. § 30104(a) and assess a \$9,694 civil money penalty; and
3. Send the appropriate letter.

Attachments

Attachment 1 - Response to ROR

ERNIE RIVERA for FLORIDA

January 28, 2017

To: Federal Election Commission (FEC) Office of Administrative Review
999 E. St. NW.
Washington D.C. 20463
AF# 3125

From: Ernie Rivera and Alan K. Geer
P.O. Box 9,
Land O Lakes, FL 34639

Re: Request for an appeal in regards to the proposed penalty assessment- AF #3125.

Honorable Members of the Committee:

We find shocking that a fine of \$9,694.00 be imposed on a first time citizen who wishing to participate in the electoral process of his country to share the social responsibility of democracy is penalized for not knowing some of the deadline rules of reporting. The "driving force" of this financial reporting was a \$250,000 loan made by Ernie Rivera on June 30. He did this, not to pay expenses but, because of the campaign recommendations of more seasoned politicians who insisted that he deposit as a personal loan to the campaign a minimum of \$250,000.00 to show the party officials that his intentions were sincere and his candidacy be taken seriously. Proof of this was the repayment of most of the funds a few days later back to the candidate after the Republican Party of Florida confirmed their intentions to bring back Senator Marco Rubio and that Ernie for Florida would have no chance in the primaries.

Also, the total campaign contributions were only \$2,977.69 and the total expenses of the campaign were only \$82,353.16.

Neither the candidate, Ernie Rivera, or Alan Geer, the treasurer, had ever been in the election process and were not aware of technical details that could affect them so much. We understand that ignorance of the process does not excuse anyone but at the same time understanding the circumstances surrounding this case should apply also as the "law is one thing and the spirit of the law" may reflect another side totally different.

Once again we respectfully request your understanding of what happened in this case and abate this huge penalty imposed.

Sincerely,

Ernie Rivera, Candidate
Alan Geer, Campaign Treasurer

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
) AF 3125
Final Determination Recommendation:)
Ernie Rivera for Florida and Alan K.)
Geer, in his official capacity as Treasurer)
(C00607564))

CERTIFICATION

I, Dayna C. Brown, Secretary and Clerk of the Federal Election
Commission, do hereby certify that on April 19, 2017, the Commission decided
by a vote of 6-0 to take the following actions in AF 3125:

1. Adopt the Reviewing Officer recommendation for AF# 3125
involving Ernie Rivera for Florida and Alan K. Geer,
in his official capacity as Treasurer, in making the final
determination.
2. Make a final determination in AF# 3125 that Ernie Rivera for
Florida and Alan K. Geer, in his official capacity as Treasurer,
violated 52 U.S.C. § 30104(a) and assess a \$9,694 civil money
penalty.
3. Send the appropriate letter.

Commissioners Goodman, Hunter, Petersen, Ravel, Walther and Weintraub
voted affirmatively for the decision.

Attest:

April 19, 2017
Date

Dayna C. Brown
Dayna C. Brown
Secretary and Clerk of the Commission



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

April 25, 2017

Alan K. Geer, Treasurer
Ernie Rivera for Florida
P.O. Box 9
Land O'Lakes, FL 34639

C00607564
AF#: 3125

Dear Mr. Geer:

On September 15, 2016, the Federal Election Commission ("the Commission") found reason to believe ("RTB") that Ernie Rivera for Florida and you, in your official capacity as Treasurer, violated 52 U.S.C. § 30104(a) for failing to file the 2016 July Quarterly Report. By letter dated September 16, 2016, the Commission sent notification of the RTB finding that included a civil money penalty calculated at RTB of \$9,694 in accordance with the schedule of penalties at 11 C.F.R. § 111.43. On October 24, 2016, the Office of Administrative Review received your written response challenging the RTB finding.

The Reviewing Officer reviewed the Commission's RTB finding with its supporting documentation and your written response. Based on this review, the Reviewing Officer recommended that the Commission make a final determination that Ernie Rivera for Florida and you, in your official capacity as Treasurer, violated 52 U.S.C. § 30104(a) and assess a civil money penalty in the amount of \$9,694 in accordance with 11 C.F.R. § 111.43. The Reviewing Officer Recommendation ("ROR") was sent to you on December 15, 2016.

On February 6, 2017, the Commission received your response to the ROR. On April 19, 2017, the Commission adopted the Reviewing Officer's recommendation and made a final determination that Ernie Rivera for Florida and you, in your official capacity as Treasurer, violated 52 U.S.C. § 30104(a) and assessed a civil money penalty in the amount of \$9,694. A copy of the Final Determination Recommendation is attached.

At this juncture, the following courses of action are available to you:

1. If You Choose to Appeal the Final Determination and/or Civil Money Penalty

If you choose to appeal the final determination, you should submit a written petition, within 30 days of receipt of this letter, to the U.S. District Court for the district in which the committee or you reside, or transact business, requesting that the final determination be modified or set aside. See 52 U.S.C. § 30109(a)(4)(C)(iii). Your failure to raise an argument in a timely fashion during the administrative process shall be deemed a waiver of the respondents' right to

present such argument in a petition to the district court under 52 U.S.C. § 30109. 11 CFR § 111.38.

2. If You Choose Not to Pay the Civil Money Penalty and Not to Appeal

Unpaid civil money penalties assessed through the Administrative Fine regulations will be subject to the Debt Collection Act of 1982 ("DCA") as amended by the Debt Collection Improvement Act of 1996 ("DCIA"), 31 U.S.C. § 3701 *et seq.* If you do not pay this debt within 30 days (or file a written petition to a federal district court - see below), the Commission will transfer the debt to the U.S. Department of the Treasury ("Treasury") for collection. Within 5 days of the transfer to Treasury, Treasury will contact you to request payment. Treasury currently charges a fee of 28% of the civil money penalty amount for its collection services. The fee will be added to the amount of the civil money penalty that you owe. Should Treasury's attempts fail, Treasury will refer the debt to a private collection agency ("PCA"). If the debt remains unpaid, Treasury may recommend that the Commission refer the matter to the Department of Justice for litigation.

Actions which may be taken to enforce recovery of a delinquent debt by Treasury may also include: (1) offset of any payments, which the debtor is due, including tax refunds and salary; (2) referral of the debt to agency counsel for litigation; (3) reporting of the debt to a credit bureau; (4) administrative wage garnishment; and (5) reporting of the debt, if discharged, to the IRS as potential taxable income. In addition, under the provisions of DCIA and other statutes applicable to the FEC, the debtor may be subject to the assessment of other statutory interest, penalties, and administrative costs.

In accordance with the DCIA, at your request, the agency will offer you the opportunity to inspect and copy records relating to the debt, the opportunity for a review of the debt, and the opportunity to enter into a written repayment agreement.

3. If You Choose to Pay the Civil Money Penalty

If you should decide to pay the civil money penalty, follow the payment instructions on page 4 of this letter. You should make payment within thirty (30) days of receipt of this letter.

NOTICE REGARDING PARTIAL PAYMENTS AND SETTLEMENT OFFERS

4. Partial Payments

If you make a payment in an amount less than the civil money penalty, the amount of your partial payment will be credited towards the full civil money penalty that the Commission assessed upon making a final determination.

5. Settlement Offers

Any offer to settle or compromise a debt owed to the Commission, including a payment in an amount less than the civil money penalty assessed or any restrictive endorsements contained on your check or money order or proposed in correspondence transmitted with your check or money order, will be rejected. Acceptance and deposit or cashing of such a restricted payment does not constitute acceptance of the settlement offer. Payments containing restrictive endorsements will be deposited and treated as a partial payment towards the civil money penalty.

that the Commission assessed upon making a final determination. All unpaid civil money penalty amounts remaining will be subject to the debt collection procedures set forth in Section 2, above.

The confidentiality provisions at 52 U.S.C. § 30109(a)(12) no longer apply and this matter is now public. Pursuant to 11 C.F.R. §§ 111.42(b) and 111.20(c), the file will be placed on the public record within 30 days from the date of this notification.

If you have any questions regarding the payment of the civil money penalty, please contact Rhiannon Magruder on our toll free number (800) 424-9530 (press 0, then ext. 1660) or (202) 694-1660.

On behalf of the Commission,



Steven T. Walther
Chairman

ADMINISTRATIVE FINE PAYMENT INSTRUCTIONS

In accordance with the schedule of penalties at 11 C.F.R. § 111.43, the civil money penalty is \$9,694 for the 2016 July Quarterly Report.

You may remit payment by ACH withdrawal from your bank account, or by debit or credit card through Pay.gov, the federal government's secure portal for online collections. Visit www.fec.gov/af/pay.shtml to be directed to Pay.gov's Administrative Fine Program Payment form.

This penalty may also be paid by check or money order made payable to the Federal Election Commission. It should be sent by mail to:

Federal Election Commission
PO Box 979058
St. Louis, MO 63197-9000

If you choose to send your payment by courier or overnight delivery, please send to:

U.S. Bank - Government Lockbox
FEC #979058
1005 Convention Plaza
Attn: Government Lockbox, SL-MO-C2GL
St. Louis, MO 63101

PAYMENTS BY PERSONAL CHECK

Personal checks will be converted into electronic funds transfers (EFTs). Your account will be electronically debited for the amount on the check, usually within 24 hours, and the debit will appear on your regular statement. We will destroy your original check and keep a copy of it. In case the EFT cannot be processed for technical reasons, you authorize us to process the copy in lieu of the original check. Should the EFT not be completed because of insufficient funds, we may try to make the transfer twice.

PLEASE DETACH AND RETURN THE PORTION BELOW WITH YOUR PAYMENT

FOR: Ernie Rivera for Florida

FEC ID#: C00607564

AF#: 3125

PAYMENT AMOUNT DUE: \$9,694

THIS IS THE END OF ADMINISTRATIVE FINE CASE # 3125

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